What Are Your Challenges?

We know that banks face significant challenges in receiving timely and accurate information regarding Dodd-Frank. The cost for many community banks of expanding their compliance processes to address the **dramatic increase in regulation** represents proportionately a much greater burden than it does for larger banks. Even though only banks with assets over $10 billion will be directly supervised by the CFPB, the **OCC**, **FDIC**, and **Federal Reserve** may mirror the CFPB’s emphasis in their continued supervision of the banks below that threshold.

As more final rules have been released, simply keeping up has become a major problem. Banks in some cases may not have any one individual devoted primarily to compliance. They will need to pull staff from other functions, train them on compliance issues, and have them spend more time in regulatory compliance on the short term. In the long term, they will need to create a staff dedicated full time to the compliance area. These costs must then be distributed over a significantly smaller revenue/customer base than is available to larger banks.

How We Can Help?

See back side ...
How We Can Help?

Lexis® Securities Mosaic® research can greatly assist small and midsize banks manage the compliance burden that Dodd-Frank causes in a more efficient, simple, and cost-effective manner. We can help community banks navigate the complexities of Dodd-Frank and other banking regulations with the following tools:

The Lexis Securities Mosaic Dodd-Frank Tracker

From our Tracker platform, you can click into the text of the rulemaking release, as well as related public comments. You can also link directly to corresponding reference within the Dodd-Frank Act itself. View proposed rule comments. Or set up a daily rulemaking email alert, with optional filtering by agency, as well as by three dozen topics.

Law Firm Memos

Access your own “virtual law firm” in the form of our database of over 100,000 law firm memos, including more than 30,000 concerning financial regulation. Keep up with the latest legal developments, or do in-depth research on special topics. Or set up customized alerts by keyword or topic.

Laws, Rules, Agencies

From a single search page, access rulemaking, enforcement, guidance, and other releases from all the major financial and banking regulators, including: the Federal Reserve; the FDIC; the Office of the Comptroller of the Currency; the Department of the Treasury; the Consumer Financial Protection Bureau; the SEC; and the CFTC.

You can also track federal agencies’ implementation of the Dodd-Frank Act, and set up customized tracking alerts.

News & Alerts

Choose from among our broad suite of news products, including our Daily Securities News, Daily Fund News, and a daily digest of influential commentary in the form of our Securities Mosaic Blogwatch; and much more.

Complementing our news service is the Lexis Securities Mosaic home page, offering a meticulously vetted and frequently updated window into the latest and hottest news from the business and financial communities, Congress, federal agencies, and the federal courts. We round this out with a robust selection of recent guidance, commentary, and relevant data. Finally, search for articles that you missed in our six-month News and Spotlight Archive.

Our customizable alerts system is built on a core architecture to ensure the utmost in speed, reliability, comprehensiveness, and precision. Here are the highlights:

• Alerts are now available for nearly all the materials on our research platform, including releases from over 30 federal agencies, recent public laws and bills in Congress, the Federal Register, law firm memos, and public company disclosure through SEC filings.

• All alerts offer advanced text search, along with Key Word in Context (KWIC™) highlighting.

Choose from among three delivery options: real time (an alert is emailed immediately), daily bundled, or weekly bundled.

Ready to find out more? Contact us.
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www.lexisnexis.com/lsmsupport

A Lexis Securities Mosaic® license also includes full access to our industry’s best customer support, research assistance and training. Whether you need help refining a search, don’t know where to begin, or just want to get caught up on all our latest features and datasets, our knowledgeable support staff is only a call or email away.