



LexisNexis® Regulatory Compliance

Multi-award winning solution  
helping you on your path to compliance



# The clear path to compliance



# Introduction

No matter where you are in the world, or what your industry is, compliance is one of the most dynamic and fast-moving functions in business. It can be complex, fragmented, and difficult to stay ahead of changing obligations.

That's why we're proud to support governance, risk and compliance professionals globally, forge a clear path to compliance. We do this by delivering world-class compliance registers and alerts.

The LexisNexis® RegCompliance+ platform with the seamless integration of authoritative content with our cutting-edge AI Assistant Protégé™, means that our compliance registers and alerts transform complex regulations into actionable insights.

We work in close partnership with legal and subject matter experts across jurisdictions who have detailed knowledge of local compliance mechanisms, contexts, and regulatory expectations that affect your business. Our team reviews, interprets, and translates obligations from legislation, standards, codes, and other authoritative sources—delivering them in clear, accessible language.

## Protégé

From asking a compliance question to summarising regulatory change that's relevant to you, Protégé in RegCompliance+ is your AI Assistant taking your compliance journey to the next level.

## RegCompliance+ Platform

The RegCompliance+ platform provides advanced filtering by jurisdiction, industry, compliance status, and risk grading—giving compliance teams granular control and visibility, making it easier to prioritise efforts, allocate resources, and focus on areas of highest risk.

Users can browse obligations and alerts in different views, making it easier to scan summaries, explore linked content, and act quickly. Dynamic dashboards bring performance data to life, helping teams visualise ownership and exposure at a glance, enabling more proactive management and reporting.

Enhanced alert capabilities also support regulatory change triage and impact assessment, with advanced filtering options and impact ratings allowing teams to instantly gauge the relevance and significance of each update.

Our tools are designed to streamline compliance processes, reduce administrative burden, and strengthen regulatory resilience—helping you stay compliant in an increasingly complex environment.

Get ready to experience a new era of compliance.



# Key benefits about Regulatory Compliance



## Stay on the ball

Content is updated regularly, so you can access obligations which reflect the current legislative framework



## Obligations you'll understand

Experts explain your legal responsibilities in easy-to-apply business language



## Choose your content

Core and specialist modules matched to your industry and location.



## Be prepared for everything

Receive alerts when regulatory changes approach.



## Streamline compliance management

Checklists. Decision trees. Policy templates. All the tools you need to manage, monitor and demonstrate your compliance.



## Turn words into actions

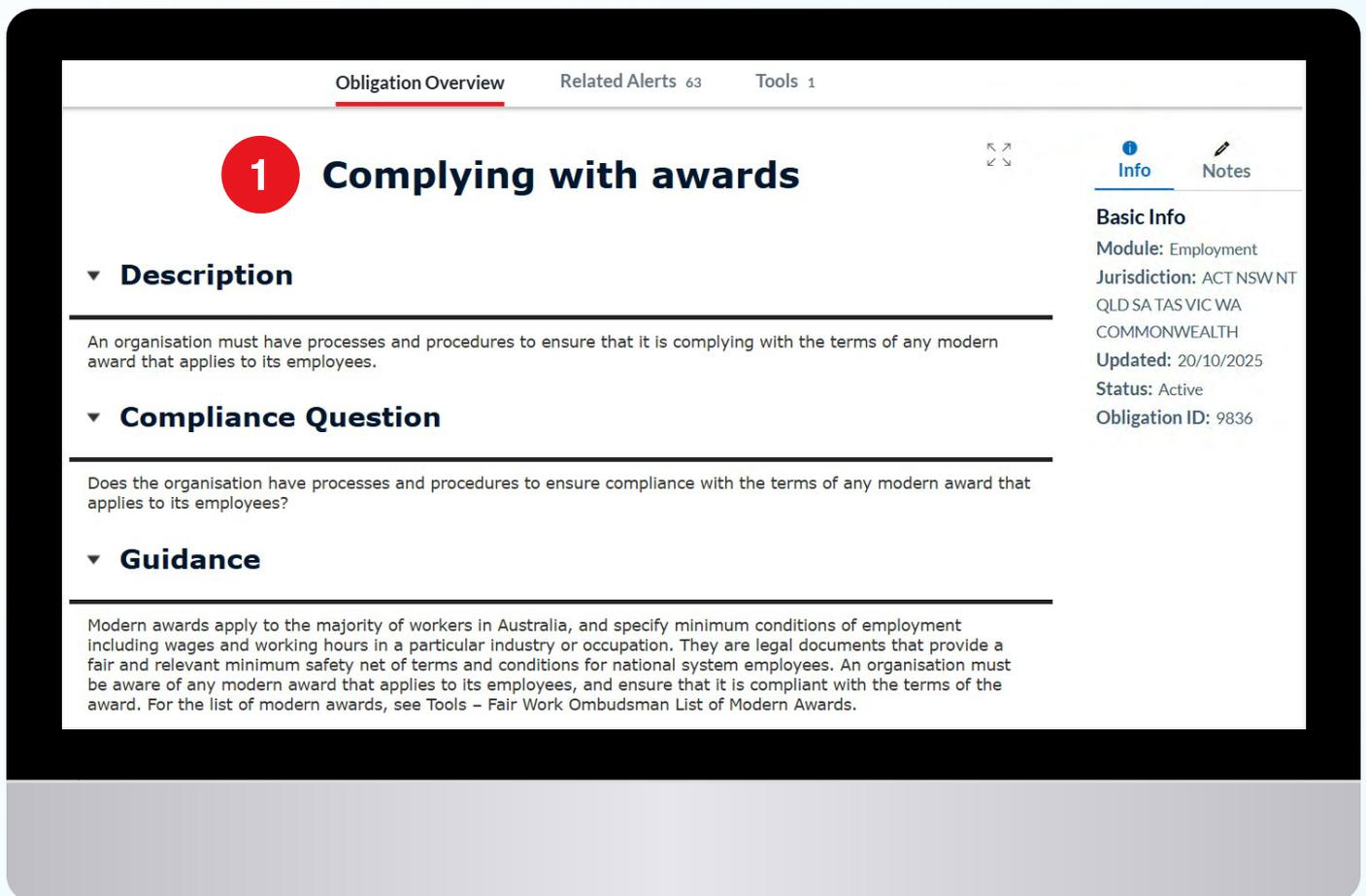
Plain language and practical directions for crystal clear guidance on control actions.

# This is compliance, uncomplicated.

Regulatory Compliance registers detail compliance obligations and sub-obligations based on hundreds of compliance sources in each jurisdiction.

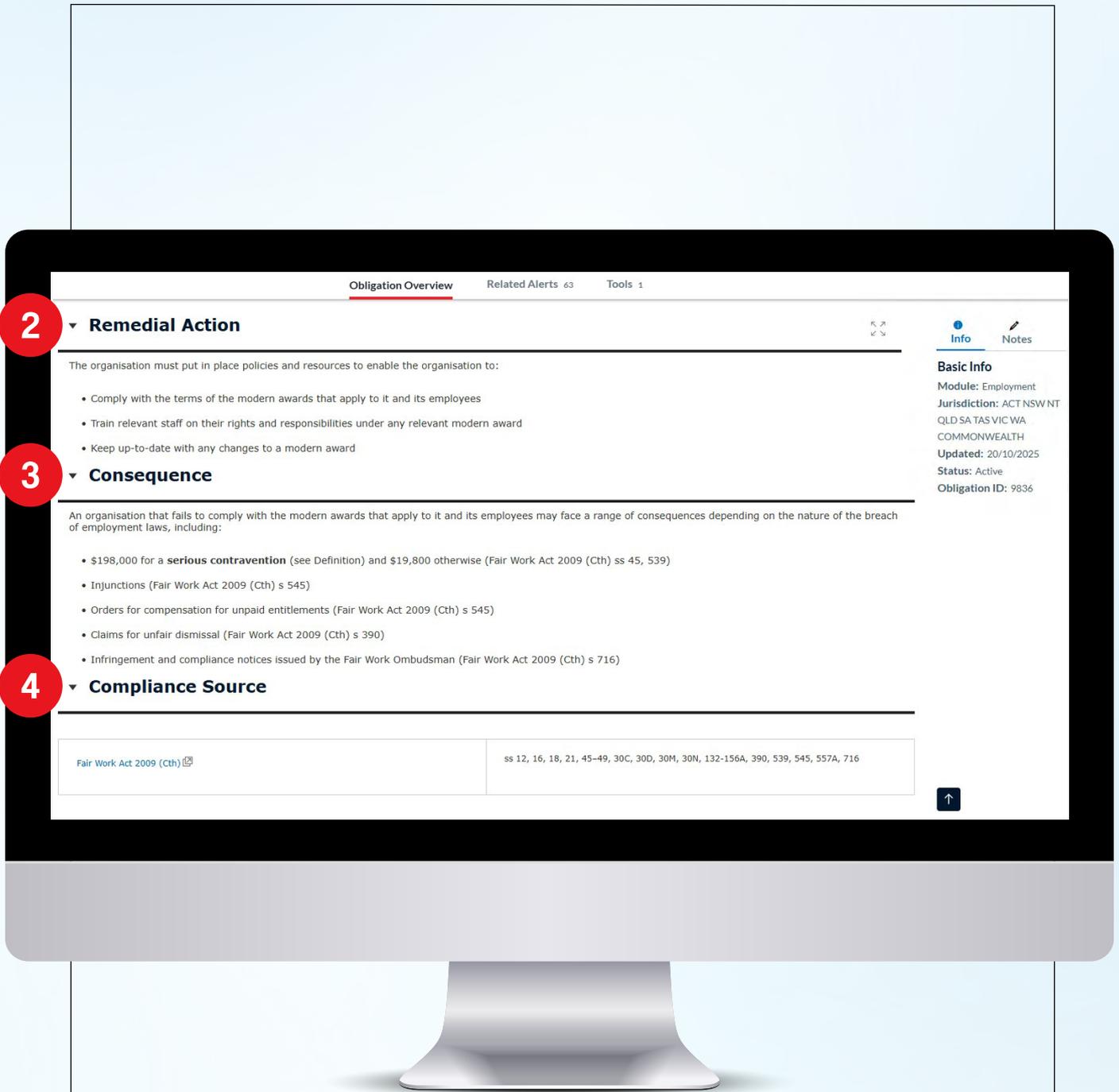
Each obligation is accompanied by broader legal context, as well as practical guidance, consequences, and remedial actions to ensure you have the full compliance picture.

We align our registers to business processes, not compliance sources. This means a single obligation in a LexisNexis register may satisfy requirements from multiple sources, reducing your workload while keeping you compliant.



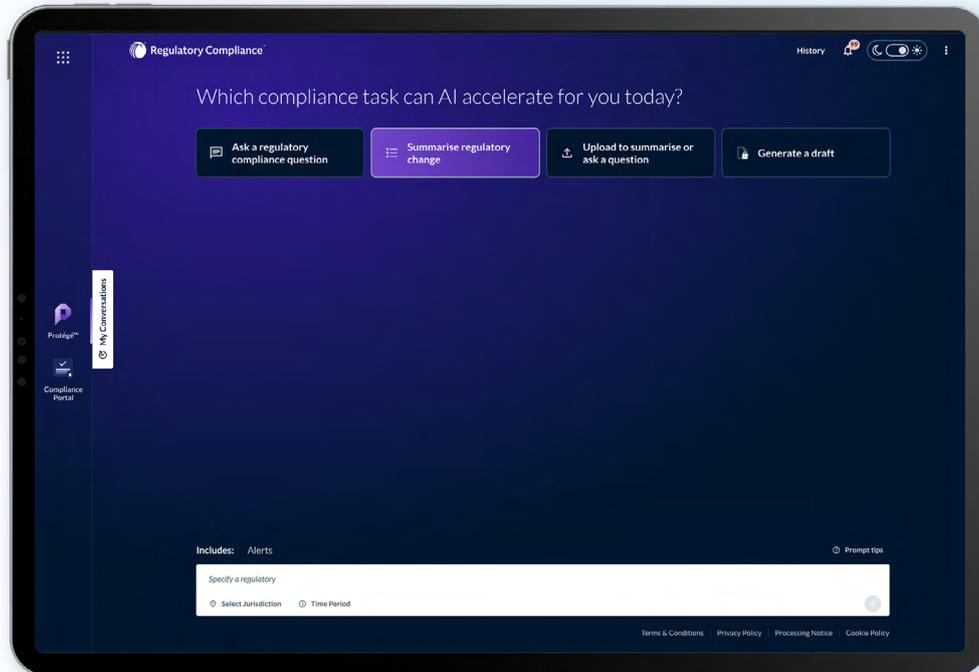
\*This is an example only for the purposes of demonstrating the content.

- 1 We provide the user with concise **practical guidance** notes on how to meet their compliance obligations related to award wages across all Australian jurisdictions.

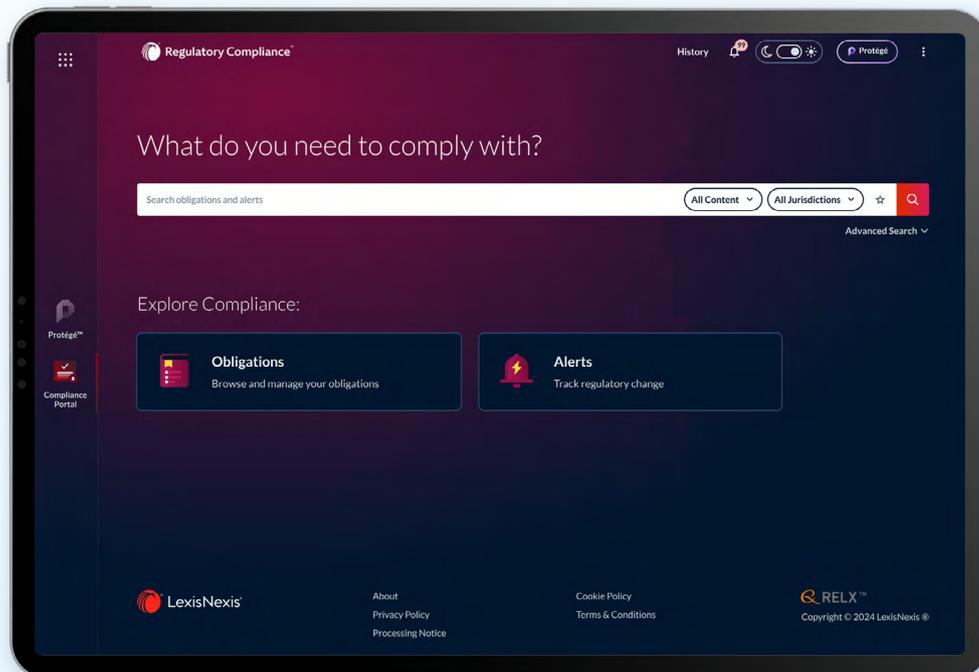


- 2 We identify **remedial actions** to becoming compliant, in the instance that the user is currently not compliant.
- 3 The **consequences** of non-compliance are explained.
- 4 We provide **authoritative sources** as a reference point. Further, we provide the user with tools, to help with making confident decisions relating to managing compliance. In this example, this is the “Fair Work Ombudsman list of modern awards”

# Welcome to the RegCompliance+ platform, with our cutting-edge AI Assistant, Protégé



From asking a compliance question to summarising regulatory change that's relevant to you, Protégé is your AI Assistant.



Get answers faster with unified search and quick access to obligations and alerts.



## What our customers say



*“The whole workflow is very streamlined. I can concentrate on what Regulatory Compliance is providing me with, whether it’s an obligation change or an alert.”*

—Uttam Mukerjee,  
Chief Risk Officer at  
Foresters Financial





*“I find that the format of information is easy to follow. Whether it being an overview of the legislation, evidence of where this legislative change has come from or even the self-assessment checklists for easier internal auditing, we are able to understand what information that may be relevant to us and then appropriately decipher how we respond.”*

—Phil Walker,  
Executive Manager of Risk and  
Assurance at YMCA Victoria



# Core Modules

Registers and alerts that cover the baseline compliance requirements for every business in Australia.



**Anti-Bribery and Corruption**



**Competition & Consumer**



**Corporations**



**Employment**



**Environment**



**Privacy & Data Protection**



**Tax**



**Workplace Health & Safety**

# Industry Modules

No matter your sector, we'll help you find a clear path to compliance with registers and alerts that impact your industry.



Aged Care



Anti-Money Laundering & Counter Terrorism Financing



Australian Credit Licences



Australian Financial Services Licences



Authorised Deposit-taking Institutions



Building & Construction



Charities



Child Care and Education Services



Community Care and Services



Credit Rating of Financial Products



Credit Reporting



Cybersecurity



Education in Non-State Schools



Facilities Management



Financial Accountability Regime



Financial Advisory



Food Service to Aged and Other Vulnerable Persons



General Insurance



Intellectual Property



Land & Property



Life Insurance



Private Health Insurance



Queensland Healthcare



Superannuation



Telecommunications



## Never miss an update

We know the world of compliance is fast moving, and regulatory changes that affect businesses can occur in short spaces of time.

That's why we deliver alerts to our customers' inboxes whenever changes occur. Our alerts contain all the essential information you need to know, including

- which of our compliance registers the update relates to
- which obligations have been impacted
- which compliance source has changed
- the date the change takes effect

### Alerts are categorised by relevance



**News** General information about compliance changes that are occurring.



**FYI** A change that you should be aware of, but does not require immediate action.



**Action Required** A change that could directly impact your business in the short term, and requires immediate review.



## Community Portal

Find strength in numbers and join the wider compliance community on our Community Portal.

The self-support platform is available to all Regulatory Compliance customers and helps you to engage and connect with your fellow compliance colleagues.

Ask questions or share your own expertise on the knowledge based, community blog and connect with others within your industry and beyond.

Are you looking for a new product feature or to share your experience with a particular compliance-based issue? Jump on the [Community Portal](#) to connect with others in the same boat.

## Resources that help guide the way

Here's a selection of compliance checklist resources that you can download today.



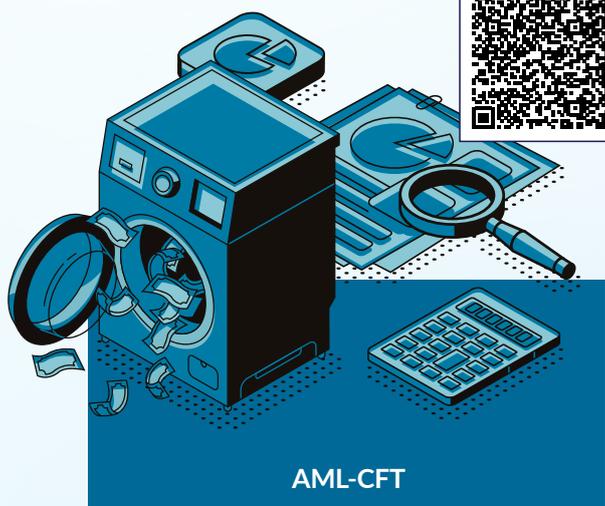
### Aged Care

This checklist helps you identify your compliance requirements related to Aged Care in Australia.



### AI and Recruitment

This checklist helps you identify key compliance considerations related to AI and Recruitment.



### AML-CFT

Helps you to identify your AML-CFT requirements.



### Corporations

This checklist helps you to navigate your compliance obligations related Corporations.



### Environment

This checklist helps you identify your legislated legal obligations related to the environment.



### ESG Checklist

This complimentary checklist can help you to deliver on your ESG strategy and reporting requirements in Australia.



### Global Cybersecurity

This checklist has been designed to help you identify your global cybersecurity obligations.



### Privacy and Data Protection

This checklist has been designed to help you identify your compliance requirements related to Privacy and Data Protection in Australia.



LexisNexis Regulatory Compliance helps you forge a clear path to compliance.

With LexisNexis® content know-how at the core, our compliance registers, alerts, and information-driven solutions make compliance uncomplicated for GRC professionals across the globe.

- Find relevant obligations faster with jargon-free registers that are aligned to your business processes.
- Stay up to date with near-real time alerts delivered straight to your inbox when you may be impacted by regulatory change.
- Engage with the wider compliance community and LexisNexis experts through the Community Portal, our self-support platform.
- Access comprehensive, current LexisNexis content that meets your unique needs, with eight core modules relevant to all businesses, and over 90 industry-specific modules.

[lexisnexis.com.au/compliance](https://lexisnexis.com.au/compliance)

Authored by leading legal and industry experts, and supported by flexible technology that works the way you do, LexisNexis Regulatory Compliance gives you peace of mind while saving time, and money.



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