

The clear path to compliance



LexisNexis® Regulatory Compliance
Multi-award winning solution
helping you on your path to compliance



Introduction

No matter where you are in the world, or what your industry, compliance is one of the most dynamic and fast-moving functions of business. It can be complex, convoluted, and difficult to ensure you're up to date.

That's why we're proud to help compliance professionals across the world forge a clear path to compliance.

We work in close partnership with a broad range of legal experts in each jurisdiction who have detailed knowledge of the compliance mechanisms, contexts, and regulators that affect your business.

Our experts review, interpret, and translate the many obligations that arise from a range of compliance sources including legislation, codes, standards, and more.

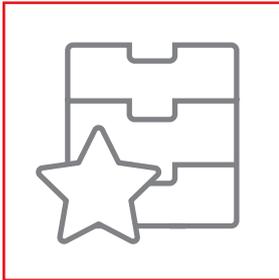
We deliver this information—written in clear, easy to understand language—to you through our compliance registers, alerts, and other information-driven solutions. We do this in a way that aligns to business processes—saving you time, money, and effort.

Our aim is to realise simplicity from complexity, making it as easy as possible for you to become compliant, and stay compliant as the landscape changes.

Our solutions are designed with you—our customer—in mind, and we're committed to partnering with you on every step of your compliance journey.

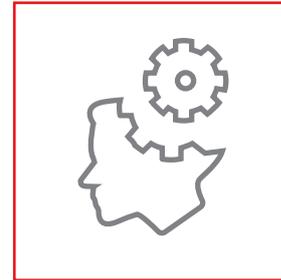


Key benefits about LexisNexis Regulatory Compliance



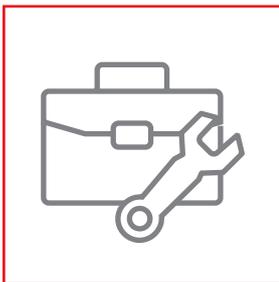
Be certain, always

Access best-in-class content that is both comprehensive, and current.



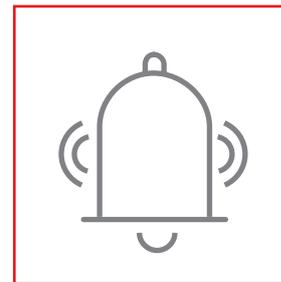
Understand quickly

Information is delivered concisely and is easy-to-apply.



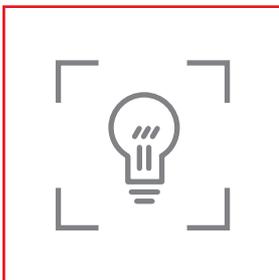
Get exactly what you need

Our suite of products can be easily tailored to the specific needs of your business.



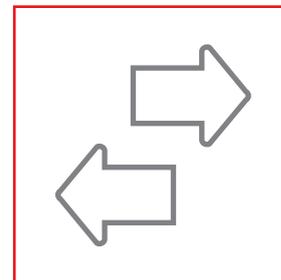
Never miss an update

Our alerting solutions ensure you're always aware of the latest changes affecting you.



Get the full picture

We make it easy to understand the broader regulatory contexts affecting compliance.



Stay connected

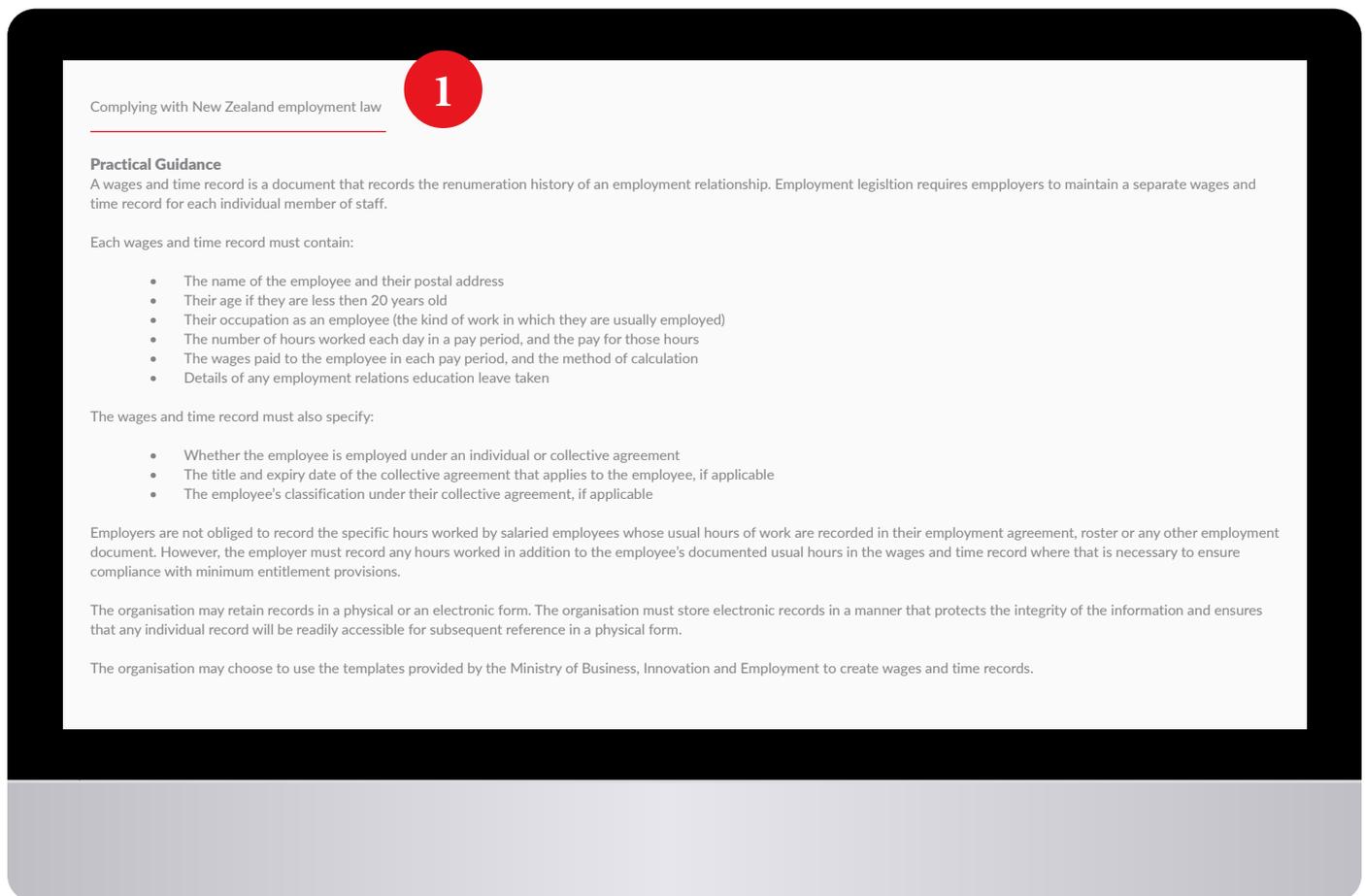
Network, collaborate, and share knowledge with the wider compliance community.

This is compliance, uncomplicated.

LexisNexis Regulatory Compliance registers detail compliance obligations and sub-obligations based on hundreds of compliance sources in each jurisdiction.

Each obligation is accompanied by broader legal context, as well as practical guidance, consequences, and remedial actions to ensure you have the full compliance picture.

We align our registers to business processes, not compliance sources. This means a single obligation in a LexisNexis register may satisfy requirements from multiple sources, reducing your workload while keeping you compliant.



*This is an example only for the purposes of demonstrating the content.

- 1** We provide the user with concise **practical guidance** notes on how to meet their compliance obligations related to New Zealand legislation.

2

Remediation

The organisation must keep a current wages and time records for each employee that lists the prescribed information for at least 7 years. The organisation must, on request, provide a copy of the record to the employee whom it concerns.

The organisation should incorporate the updating of wages and time records into automated systems for the management of employees and the distribution of wages.

If the organisation should retain copies of wages and time records in a central location with other documents demonstrating that it provides employees with legislated entitlements.

If the organisation discovers that it has failed to keep a wages and time record with respect to an employee, or that the content of a record is inaccurate, it must compile an accurate wages and time record immediately using all reliable information available and must revisit procedures to ensure that the records will be kept properly in the future.

3

Consequence

Failing to keep a wages and time record, or failing to produce a wages and time record, may attract a penalty from the Employment Relations Authority of up to \$10,000 in the case of an individual, and up to \$20,000 in the case of a company or other corporation, for each affected employee (Employment Relations Act 2000 (NZ) ss 10 and 135).

In addition, failing to keep or produce a wages and time record may render the organisation unable to defend against claims for arrears made by employees. The Employment Relations Authority may accept all claims made by employees during an investigation with respect to:

- The wages the employee has received
- The hours, days and time worked by the employee (Employment Relations Act 2000 (NZ) s 132)

Consequence

Contract and Commercial Law Act 2017 (NZ) Pt 4 subpt 3
Employment Relations Act 2000 (NZ) ss 130-132, 135 and 232
Tax Administration Act 1994 (NZ) s 22

Tools

Search Tools



4

2

We identify **remedial actions** to becoming compliant, in the instance that the user is currently not compliant.

3

The **consequences** of non-compliance are explained.

4

We provide **authoritative sources** as a reference point. Further, we provide the user with tools, to help with making confident decisions relating to managing compliance. In this example, this is the "Fair Work Ombudsman list of modern awards".

What our customers say



“LexisNexis offers clarity. It’s a very simple and efficient use of information.”

—Liza Gubska,
Enterprise Risk and
Compliance Lead at Brighte





“LexisNexis has saved us the equivalent of six months’ work for a full-time staff member.”

—Andrew Webster,
Head of Risk and Compliance at
Aussie Broadband



Registers for all businesses

We offer eight core modules that cover the baseline compliance requirements for businesses.

Anti-Bribery & Corruption

Stay on the right side of domestic and foreign bribery regulations, avoid facilitation payments and corruption offences across jurisdictions.

Consumer Law

A complex and ever-changing area of law, this module provides you with a practical explanation of your obligations with regard to your customers, and how you can meet them. We have reviewed all key legislation regulated by the Commerce Commission.

Company Law

From setting up and operating your company through to winding it up, this module provides all key legislation administered by the Financial Management Authority, Registrar of Companies of the New Zealand Ministry for Business, Innovation and Employment and other key government bodies.

Employment

Fulfill your obligations across the employment life cycle – from recruitment to employment contract obligations, fair treatment in the workplace and more.

Environment

Environmental law applies to all industries. Understand your obligations under key topics including Approvals, Licensing, Audits, Water and Air Emissions.

Privacy & Data Protection

Stay on top of your business's secure collection, management and maintenance of personal information within the New Zealand legal framework.

Tax

Become fully aware of how your business activities may affect your organisation's tax and superannuation obligations.

Workplace Health & Safety

Be compliant when it comes to the health and safety of everyone in your workplace - customers, visitors and suppliers included.

Registers for your industry

No matter your field, we'll help you find a clear path to compliance with industry modules which include and are not limited to:

Anti-Money Laundering & Counter Financing Terrorism	Bank & Non-Bank Deposit Takers
Credit Reporting	Cybersecurity
Global Environmental, Social and Governance (ESG)	Financial Services for Corporate & Institutional Clients
Financial Services for Retail Clients	General Insurance
KiwiSaver	Life Insurance
New Zealand Listing Rules	New Zealand Sanctions
Risk and Compliance	Social Media

Never miss an update

We know the world of compliance is fast moving, and regulatory changes that affect businesses can occur in short spaces of time.

That's why we deliver alerts to our customers' inboxes whenever changes occur. Our alerts contain all the essential information you need to know, including:

- which of our compliance registers the update relates to.
- which obligations have been impacted.
- which compliance source has changed.
- the date the change takes effect.

Alerts are categorised by relevance



Action Required A change that could directly impact your business in the short term, and requires immediate review.



FYI A change that you should be aware of, but does not require immediate action.



News General information about compliance changes that are occurring.



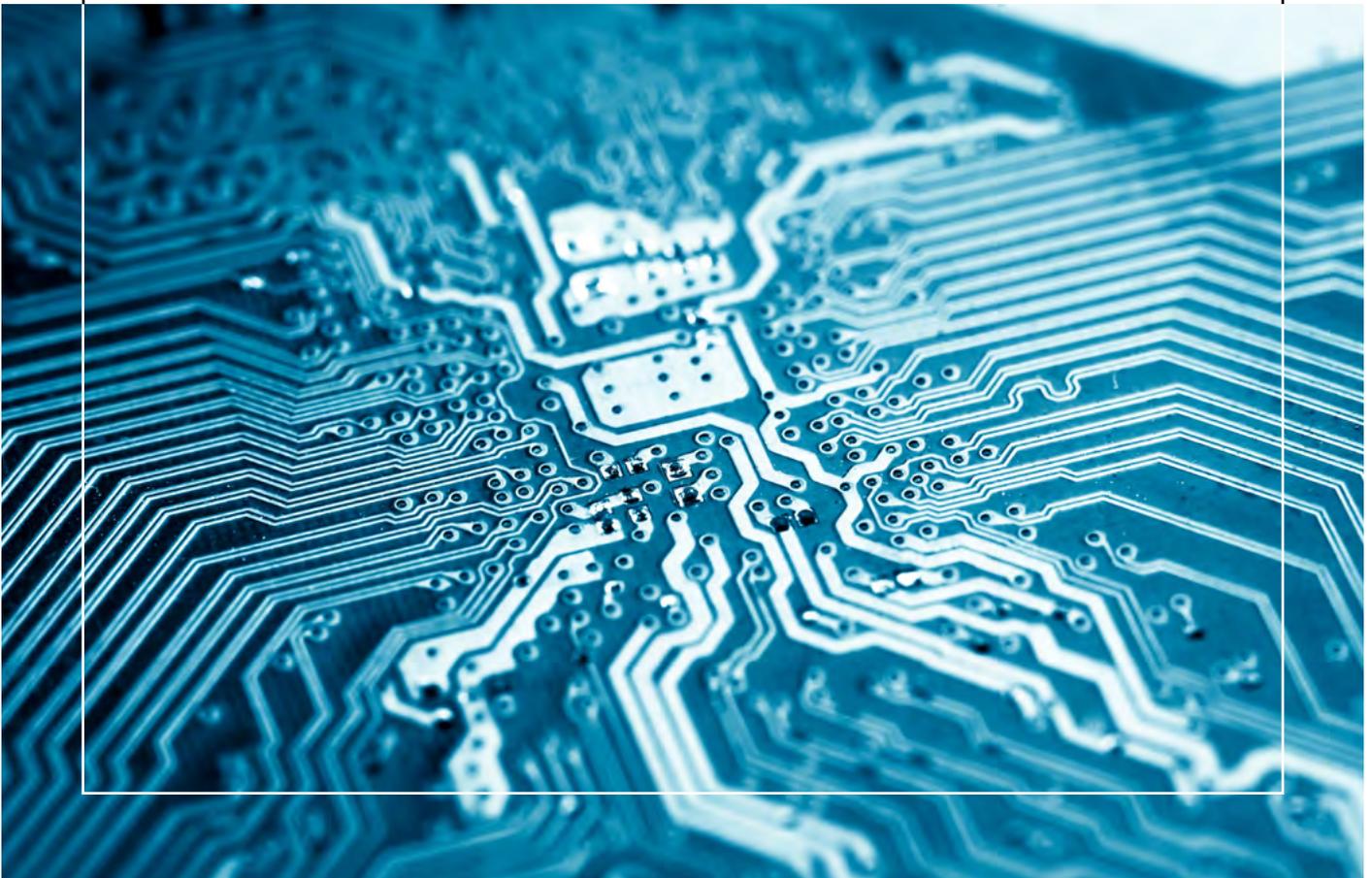
SourceData

Innovative and easy-to-use, LexisNexis® Regulatory Compliance SourceData is the go-to compliance tool when businesses need to understand their compliance obligations under a particular regulator or compliance source.

Our data-driven design allows users to quickly identify the priorities of any regulator in any jurisdiction, using our vast and up-to-date compliance datasets.

Explore compliance sources including legislation, codes, standards, and regulatory frameworks, or draw quick links between regulators, compliance sources, and how they affect your business.

With an unprecedented level of detail, down to individual sections of compliance sources, SourceData allows users clarity of their obligations, in a complex compliance landscape.





Community Portal

Find strength in numbers and join the wider compliance community on our Community Portal.

The self-support platform is available to all LexisNexis Regulatory Compliance customers and helps you to engage and connect with your fellow compliance colleagues.

Ask questions or share your own expertise on the knowledge based, community blog and connect with others within your industry and beyond.

Are you looking for a new product feature or to share your experience with a particular compliance-based issue? Jump on the Community Portal to connect with others in the same boat.

Resources that help guide the way

Here are a selection of compliance checklists that you can download today.



Helps you to identify your AML-CFT requirements.



Providing guidance across your required obligations as they relate to the Credit Contracts and Consumer Financing Act (CCCFA).



Provides you with guidance on your obligations required by the Conduct of Financial Institutions (CoFI) regime.



Has been designed to help identify your cybersecurity requirements.



ESG

Can help you to deliver on your ESG strategy and reporting requirements.



New Zealand Sanctions

Helps you navigate complex global regulations that require enforcement by the NZ Sanctions Regime.



Social Media

From promoting your business to monitoring employees' conduct, it's vital to be diligent on the latest compliance obligations on the topic.



Risk and Compliance

This checklist is designed to help you mitigate compliance risk.



LexisNexis Regulatory Compliance® helps you forge a clear path to compliance.

With LexisNexis content know-how at the core, our compliance registers, alerts, and information-driven solutions make compliance uncomplicated for GRC professionals across the globe.

- Find relevant obligations faster with jargon-free registers that are aligned with your business processes.
- Stay up to date with near real-time alerts delivered straight to your inbox when you may be impacted by regulatory change.
- Explore your compliance obligations under a particular regulator, or a particular compliance source, with SourceData.
- Engage with the wider compliance community and LexisNexis experts through the Community Portal, our self-support platform.
- Access comprehensive, current LexisNexis content that meets your unique needs, with key core modules relevant to all businesses, and a rapid accelerating roadmap of industry-specific modules that guide your path to compliance.

Authored by leading legal and industry experts, and supported by flexible technology that works the way you do, LexisNexis Regulatory Compliance gives you peace of mind while saving time, and money.

 LexisNexis® | *Regulatory Compliance*

lexisnexis.co.nz/compliance



LexisNexis® Regulatory Compliance
Multi-award winning solution
helping you on your path to compliance

To learn more, contact: _____

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