



LexisNexis® Regulatory Compliance

Multi-award winning solution
helping you on your path to compliance



The clear path to compliance



Introduction

No matter where you are in the world, or what your industry, compliance is one of the most dynamic and fast-moving functions in business. It can be complex, fragmented, and difficult to stay ahead of changing obligations.

That's why we're proud to support governance, risk and compliance professionals globally, forge a clear path to compliance. We do this by delivering world-class compliance registers and alerts.

The LexisNexis® RegCompliance+ platform and the seamless integration of authoritative content with our refined workflows, means that our compliance registers and alerts transform complex regulations into actionable insights.

We work in close partnership with legal and subject matter experts across jurisdictions who have detailed knowledge of local compliance mechanisms, contexts, and regulatory expectations that affect your business. Our team reviews, interprets, and translates obligations from legislation, standards, codes, and other authoritative sources—delivering them in clear, accessible language.

RegCompliance+ Platform

The RegCompliance+ platform provides advanced filtering by jurisdiction, industry, compliance status, and risk grading— giving compliance teams granular control and visibility, making it easier to prioritise efforts, allocate resources, and focus on areas of highest risk.

Users can browse obligations and alerts in different views, making it easier to scan summaries, explore linked content, and act quickly. Dynamic dashboards bring performance data to life, helping teams visualise ownership and exposure at a glance, enabling more proactive management and reporting.

Enhanced alert capabilities also support regulatory change triage and impact assessment, with advanced filtering options and impact ratings allowing teams to instantly gauge the relevance and significance of each update.

Our tools are designed to streamline compliance processes, reduce administrative burden, and strengthen regulatory resilience—helping you stay compliant in an increasingly complex environment.

Get ready to experience a new era of compliance.



Key benefits of Regulatory Compliance



Stay on the ball

Content is updated regularly, so you can access obligations which reflect the current legislative framework



Obligations you'll understand

Experts explain your legal responsibilities in easy-to-apply business language



Choose your content

Core and specialist modules matched to your industry and location.



Be prepared for everything

Receive alerts when regulatory changes approach.



Streamline compliance management

Checklists. Decision trees. Policy templates. All the tools you need to manage, monitor and demonstrate your compliance.



Turn words into actions

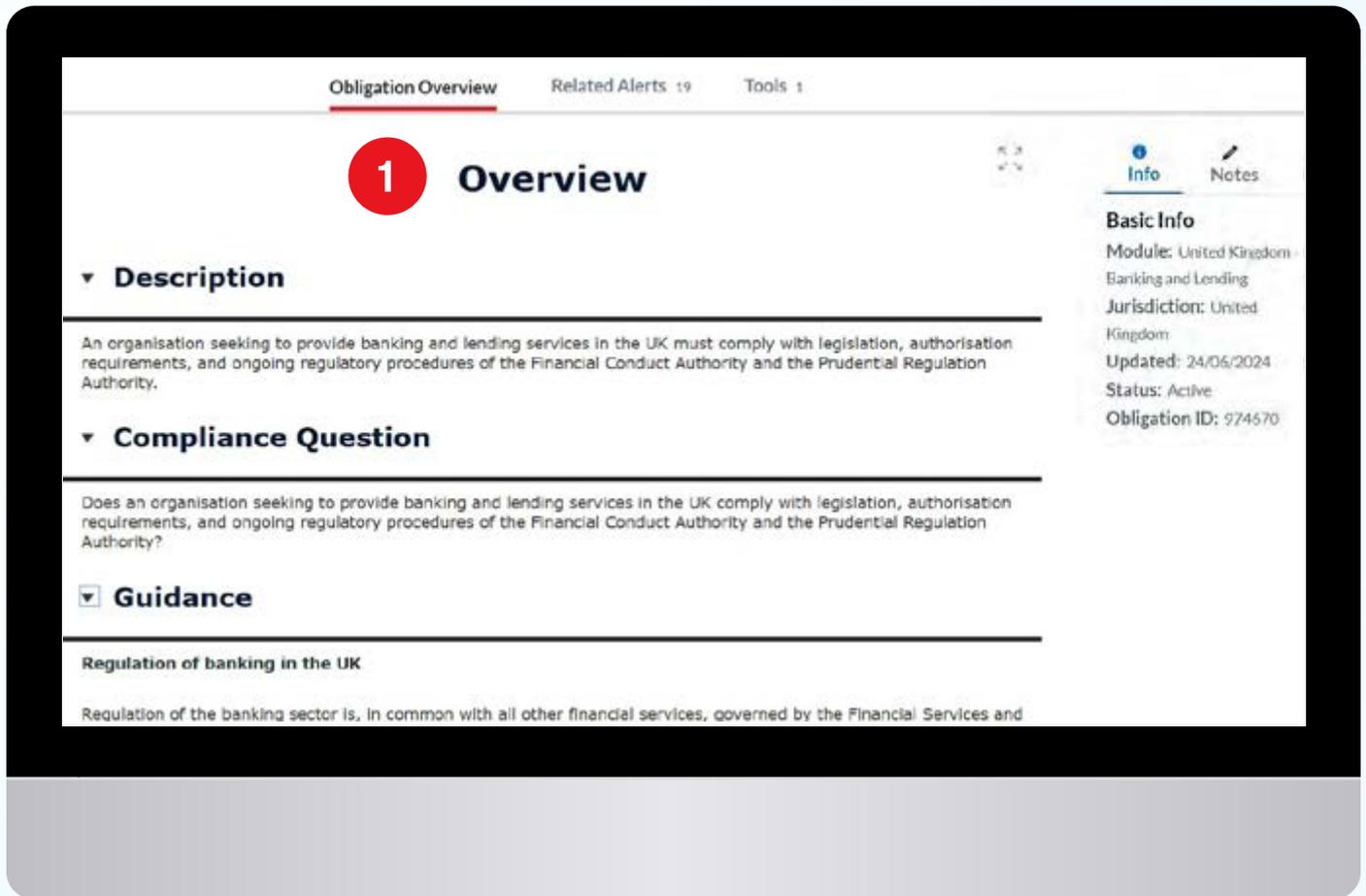
Plain language and practical directions for crystal clear guidance on control actions.

This is compliance, uncomplicated.

Regulatory Compliance registers detail compliance obligations and sub-obligations based on hundreds of compliance sources in each jurisdiction.

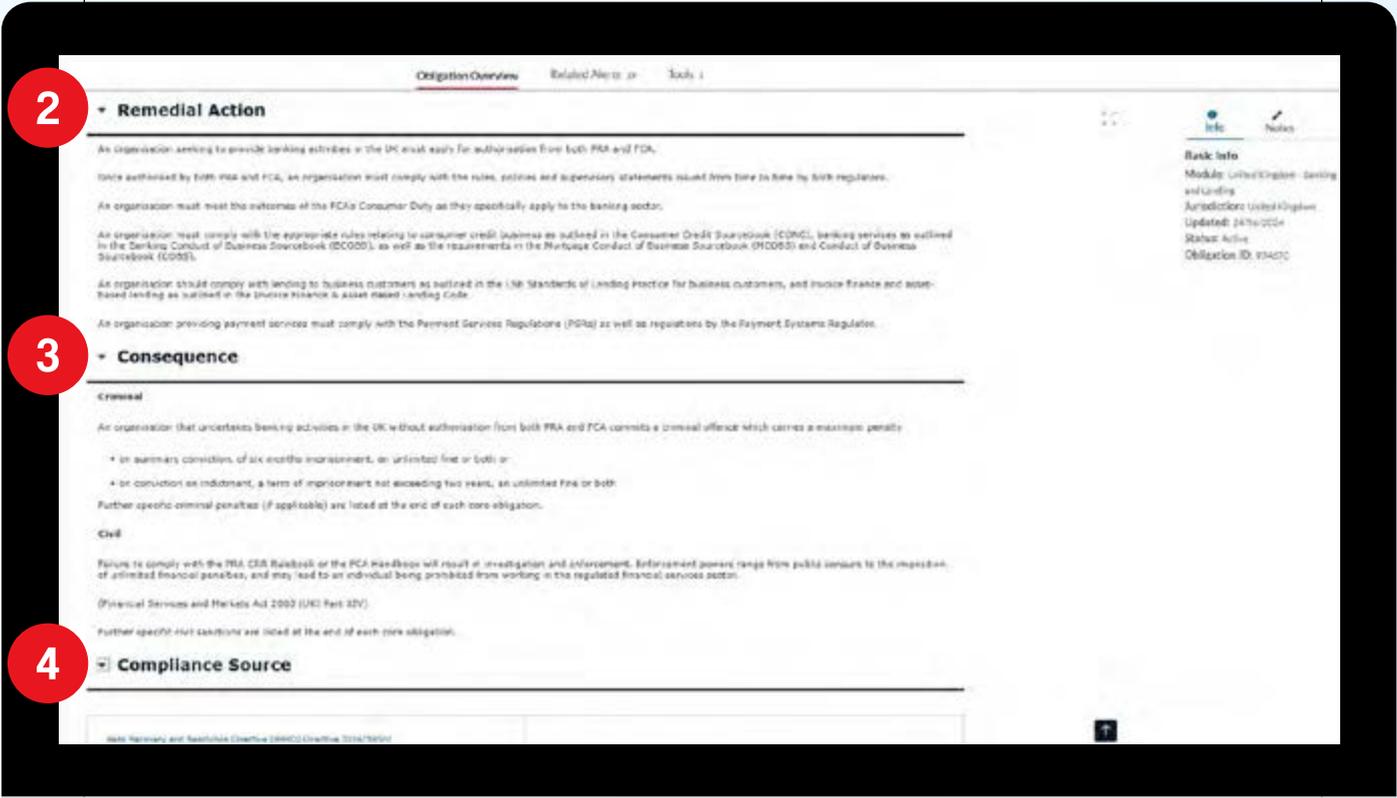
Each obligation is accompanied by broader legal context, as well as practical guidance, consequences, and remedial actions to ensure you have the full compliance picture.

We align our registers to business processes, not compliance sources. This means a single obligation in a LexisNexis register may satisfy requirements from multiple sources, reducing your workload while keeping you compliant.



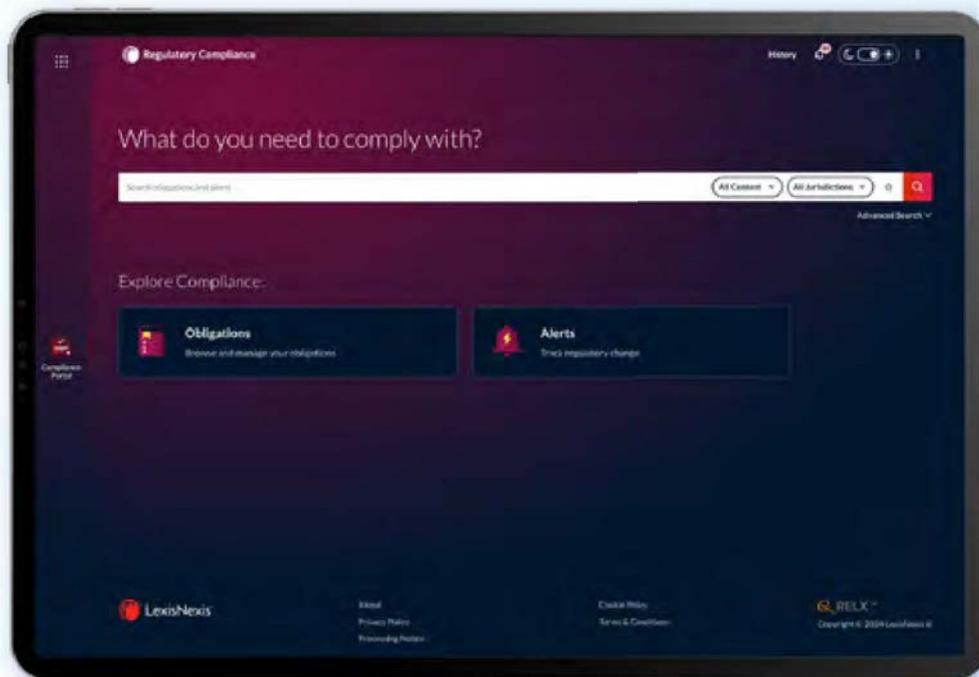
*This is an example only for the purposes of demonstrating the content.

- 1 We provide the user with concise **practical guidance** notes on how to meet their compliance obligations related to Banking and Lending obligation across the UK.

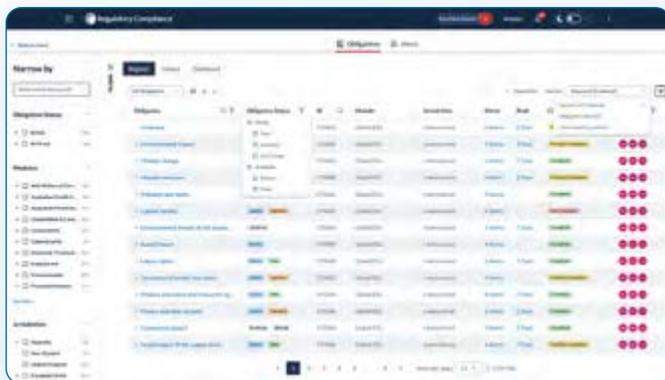


- 2 We identify **remedial actions** to becoming compliant, in the instance that the user is currently not compliant.
- 3 The **consequences** of non-compliance are explained.
- 4 We provide authoritative sources as a reference point. Further, we provide the user with tools, to help with making confident decisions relating to managing compliance.

Welcome to the RegCompliance+ platform

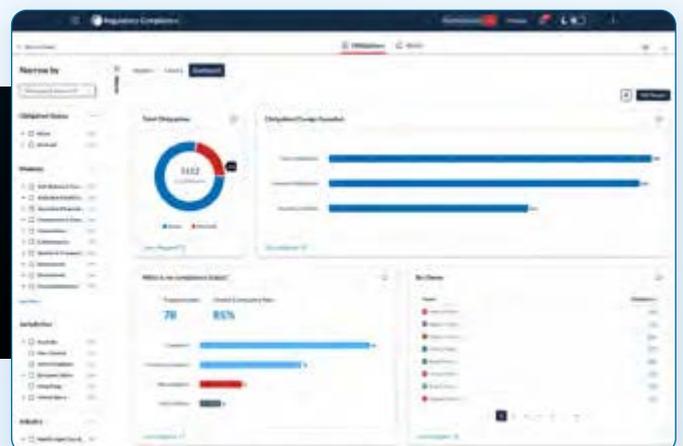


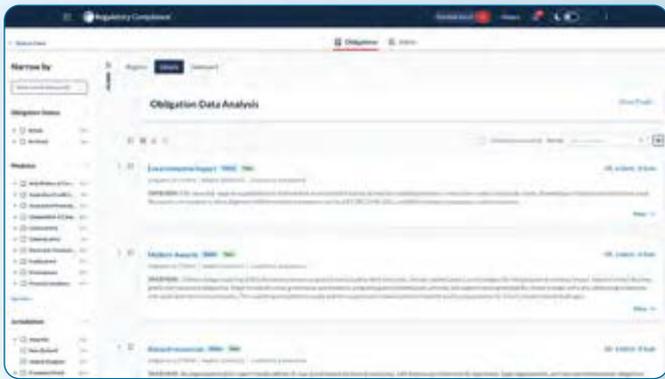
Get answers faster with unified search and quick access to obligations and alerts.



Boost operational efficiency and resilience with enhanced obligation filters based on jurisdiction, industry, compliance status, and risk grading.

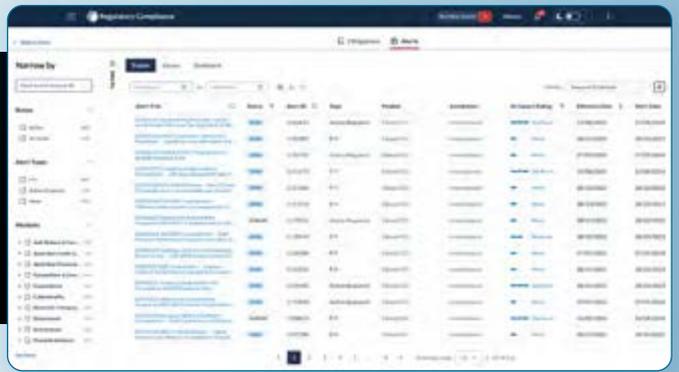
Dynamic dashboard provides a snapshot of your compliance tracking, obligations management, and risk insights. This enables proactive compliance management and informed reporting.





View obligation summaries and linked alerts and tools. Filter by module, jurisdiction, or status to get what you need, when you need.

Proactive regulatory change triage and management by filtering alerts by impact rating, risk rating and more.



Transform regulatory alert data into actionable insights through a highly visual dashboard showcasing alert volume, risk, ownership, and timelines.

What our customers say



“The whole workflow is very streamlined. I can concentrate on what Regulatory Compliance is providing me with, whether it’s an obligation change or an alert.”

—Uttam Mukerjee,
Chief Risk Officer at
Foresters Financial





“Regulatory Compliance didn’t just save me hours in the day, it has saved me months and months of work.”

—Cornel Janse van Rensburg,
Group Safety and Environmental
Systems Manager at Naylor Love



Core Modules

Registers and alerts that cover the baseline compliance requirements for every business in the UK.



Anti-Bribery and Corruption



AML-CFT



Consumer Protection



Corporations



Competition



Cybersecurity



Employment



Environment



ESG



Workplace Health & Safety



Modern Slavery

Industry Modules

No matter your sector, we'll help you find a clear path to compliance with registers and alerts that impact your industry.



Asset Management



Banking and Lending



Building Societies and
Credit Unions



Casinos & Gambling



England and Wales
Solicitors Regulation



Financial Sanctions



Financial Services Authorisation



Insurance



Payment Services



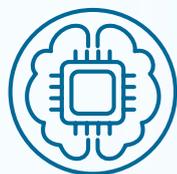
Tax

EU Modules

Compliance obligations and alerting solutions covering all EU member state regulations.
Used in combination with our core modules, your entire legal landscape is covered.



AML-CFT



Artificial Intelligence System



Asset Management



Digital Operational Resilience



ESG



Payment Attestation



Payments



Privacy & Data Protection



Never miss an update

We know the world of compliance is fast moving, and regulatory changes that affect businesses can occur in short spaces of time.

That's why we deliver alerts to our customers' inboxes whenever changes occur. Our alerts contain all the essential information you need to know, including

- which of our compliance registers the update relates to
- which obligations have been impacted
- which compliance source has changed
- the date the change takes effect

Alerts are categorised by relevance



News General information about compliance changes that are occurring.



FYI A change that you should be aware of, but does not require immediate action.



Action Required A change that could directly impact your business in the short term, and requires immediate review.



Community Portal

Find strength in numbers and join the wider compliance community on our Community Portal.

The self-support platform is available to all Regulatory Compliance customers and helps you to engage and connect with your fellow compliance colleagues.

Ask questions or share your own expertise on the knowledge based, community blog and connect with others within your industry and beyond.

Are you looking for a new product feature or to share your experience with a particular compliance-based issue? Jump on the [Community Portal](#) to connect with others in the same boat.

Resources that help guide the way

Here's a selection of compliance checklist resources that you can download today.



Helping those in FCA-regulated investment firms in the UK to meet their regulatory compliance needs.



Addressing the regulatory framework a CRR bank must take into account when establishing and maintaining its banking operations.



The module emphasises financial crime controls, data protection, business continuity and consumer protection to help these organisations maintain robust operations in a complex financial environment.

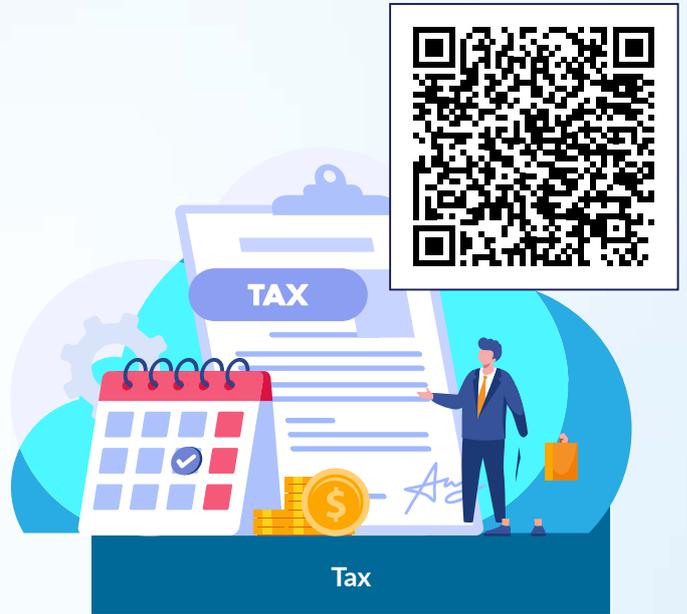


A regulatory framework that governs how organisations collect, process, and safeguard personal data within and beyond the European Union (EU).



England and Wales Solicitors

The consequences of environmentally and socially damaging investments.

Tax

Helping you to deliver on your legal and regulatory obligations related to tax.




UK Environment

The core module focuses on providing practical assistance to UK organisations to ensure that they comply with sustainability regulations by transparently reporting energy use, climate risks, and pollution measures.



LexisNexis Regulatory Compliance helps you forge a clear path to compliance.

With LexisNexis® content know-how at the core, our compliance registers, alerts, and information-driven solutions make compliance uncomplicated for GRC professionals across the globe.

- Find relevant obligations faster with jargon-free registers that are aligned to your business processes.
- Stay up to date with near-real time alerts delivered straight to your inbox when you may be impacted by regulatory change.
- Engage with the wider compliance community and LexisNexis experts through the Community Portal, our self-support platform.
- Access comprehensive, current LexisNexis content that meets your unique needs, with key core modules relevant to all businesses, and a rapid accelerating roadmap of industry-specific modules that guide your path to compliance.

Authored by leading legal, attorney and industry experts, and supported by flexible technology that works the way you do, LexisNexis Regulatory Compliance gives you peace of mind while saving time, and money.



<https://www.lexisnexis.co.uk/products/regulatory-compliance.html>



LexisNexis® Regulatory Compliance
Multi-award winning solution
helping you on your path to compliance

