LexisNexis® | *Regulatory Compliance*

The clear path to compliance

Credentials Document

LexisNexis® Regulatory Compliance
Multi-award winning solution
helping you on your path to compliance

Overview

About LexisNexis Regulatory Compliance

LexisNexis Regulatory Compliance® helps you forge a clear path to compliance.

With LexisNexis® content know-how at the core, our compliance registers, alerts, and information-driven solutions make compliance uncomplicated for Governance, Risk, and Compliance professionals across the globe.

→ Find relevant obligations faster with jargon-free registers that are aligned to your business processes.
→ Stay up to date with near-real time alerts delivered straight to your inbox when you may be impacted by regulatory change.
→ Explore your compliance obligations under a particular regulator, or a particular compliance source, with SourceData.
→ Engage with the wider compliance community and LexisNexis experts through the Community Portal, our self-support platform.
→ Access comprehensive, current LexisNexis content that meets your unique needs, with key core modules relevant to all businesses, and a rapid accelerating roadmap of industry-specific modules that guide your path to compliance.

Authored by leading legal and industry experts, and supported by flexible technology that works the way you do, LexisNexis Regulatory Compliance gives you peace of mind while saving time, and money.

About LexisNexis

LexisNexis is part of RELX Group, a world-leading provider of information and analytics for professional and business customers across industries. LexisNexis helps customers to achieve their goals in more than 175 countries, across six continents, with over 10,000 employees.

Overview

No matter where you are in the world, or what your industry, compliance is one of the most dynamic and fast-moving functions in business. It can be complex, fragmented, and difficult to stay ahead of changing obligations. And while compliance is deeply intertwined with the law, often the people tasked with managing it, are not legal professionals.

LexisNexis Regulatory Compliance ensures that the guidance and content is clear and n plain English so your teams know what you need to do fast, and don't have to spend time translating.

By drawing on the knowledge of some of the foremost legal experts in each compliance area, and presenting their interpretations in clear, actionable language that makes sense, we help you find clarity on your path to compliance.

Powered by the RegCompliance+ platform and the seamless integration of authoritative content with our cutting-edge AI Assistant Protégé, our compliance registers and alerts transform complex regulations into actionable insights for Governance, Risk, and Compliance professionals.

Advanced filtering by jurisdiction, industry, compliance status, and risk grading— this gives compliance teams granular control and visibility, making it easier to prioritise efforts, allocate resources, and focus on areas of highest risk.

Users can browse obligations and alerts in different views, making it easier to scan summaries, explore linked content, and act quickly. Dynamic dashboards bring performance data to life, helping teams visualise ownership and exposure at a glance, enabling more proactive management and reporting.

Enhanced alert capabilities also support regulatory change triage and impact assessment, with new filtering options and impact ratings allowing teams to instantly gauge the relevance and significance of each update.

Our tools are designed to streamline compliance processes, reduce administrative burden, and strengthen regulatory resilience—helping you stay compliant in an increasingly complex environment.

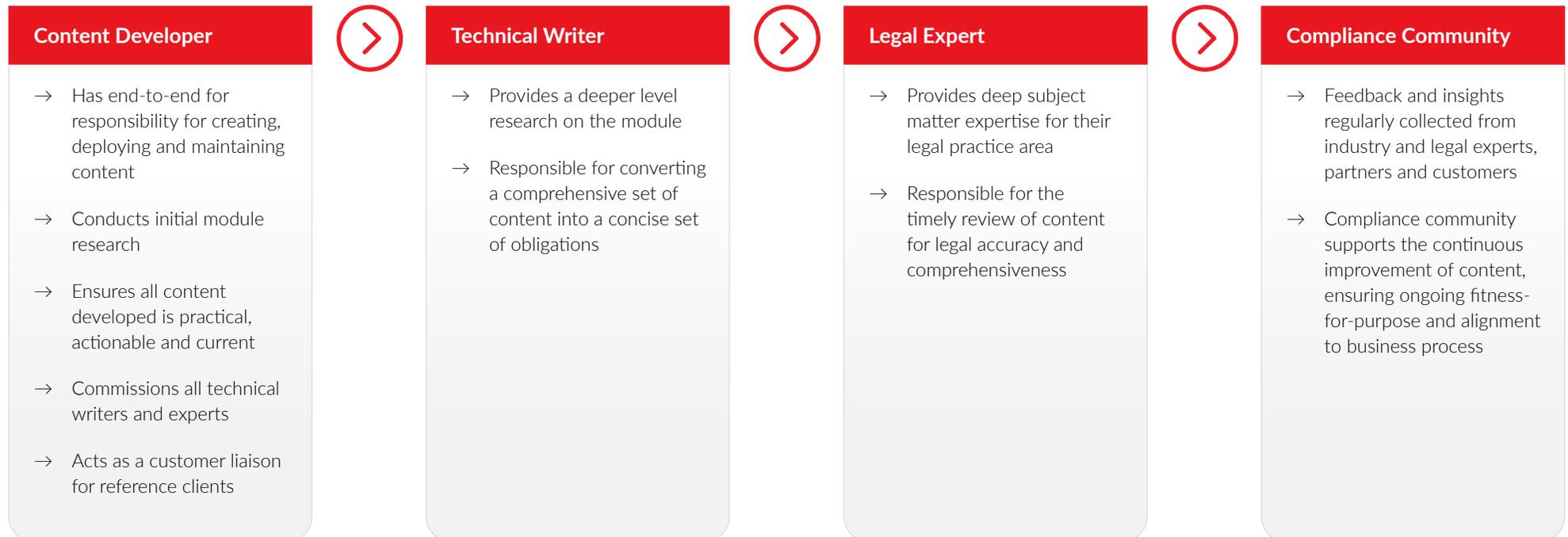**Get ready to experience a new era of compliance.**
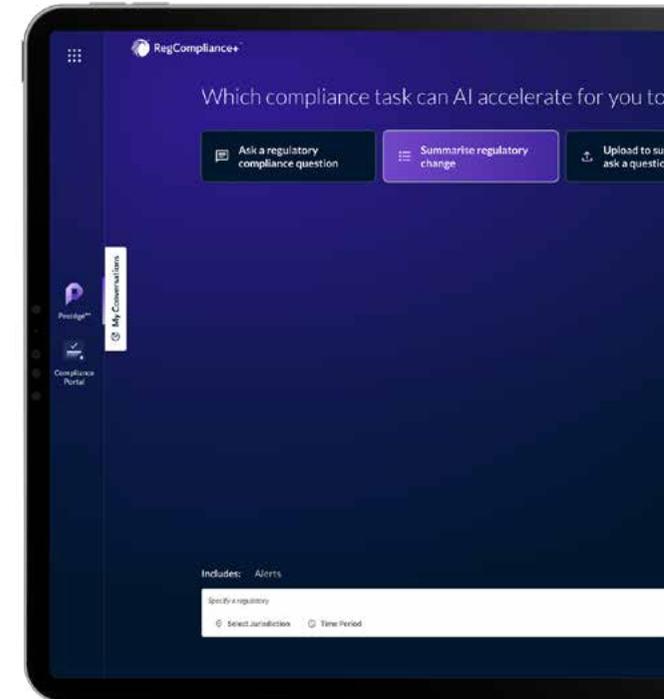
Content Principles

Our content is set apart from the competition by two key principles:

1. It is authored by experts to provide the best interpretations of compliance sources.
2. It is structured for practicality across all modules.

The Process of Expert Authorship

Content Developer

→ Has end-to-end for responsibility for creating, deploying and maintaining content

→ Conducts initial module research

→ Ensures all content developed is practical, actionable and current

→ Commissions all technical writers and experts

→ Acts as a customer liaison for reference clients

Technical Writer

→ Provides a deeper level research on the module

→ Responsible for converting a comprehensive set of content into a concise set of obligations

Legal Expert

→ Provides deep subject matter expertise for their legal practice area

→ Responsible for the timely review of content for legal accuracy and comprehensiveness

Compliance Community

→ Feedback and insights regularly collected from industry and legal experts, partners and customers

→ Compliance community supports the continuous improvement of content, ensuring ongoing fitness-for-purpose and alignment to business process

Content Principles

Designed for Practicality

Every obligation in our compliance registers is structured the same way so you can quickly locate the information you need.

→ **Guidance** notes explains what it means to be compliant and how to get there
→ **Remedial actions** let you know some possible steps to take if you're not currently, or at risk of not being, compliant
→ **Consequences** explain the potential penalties for non-compliance
→ **Compliance Source** are included as reference points.
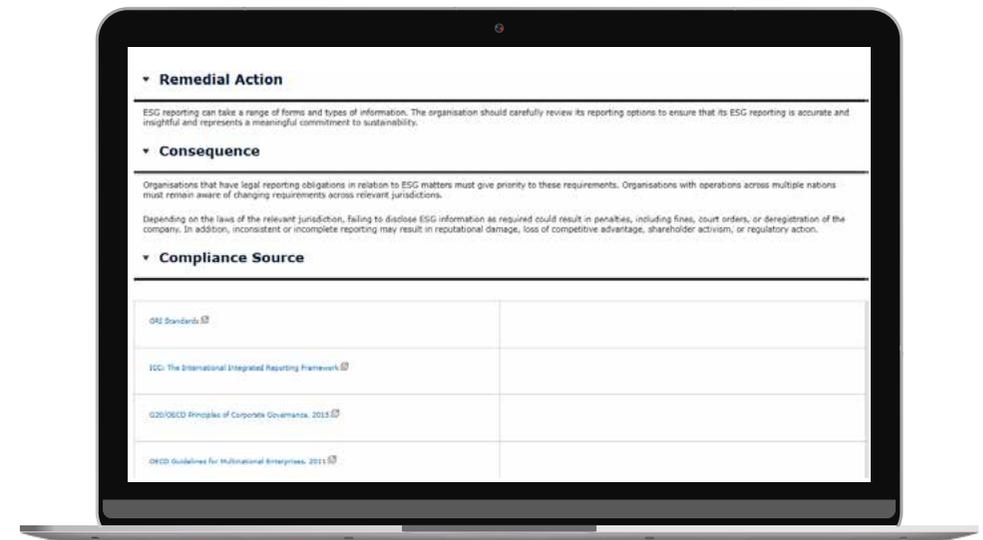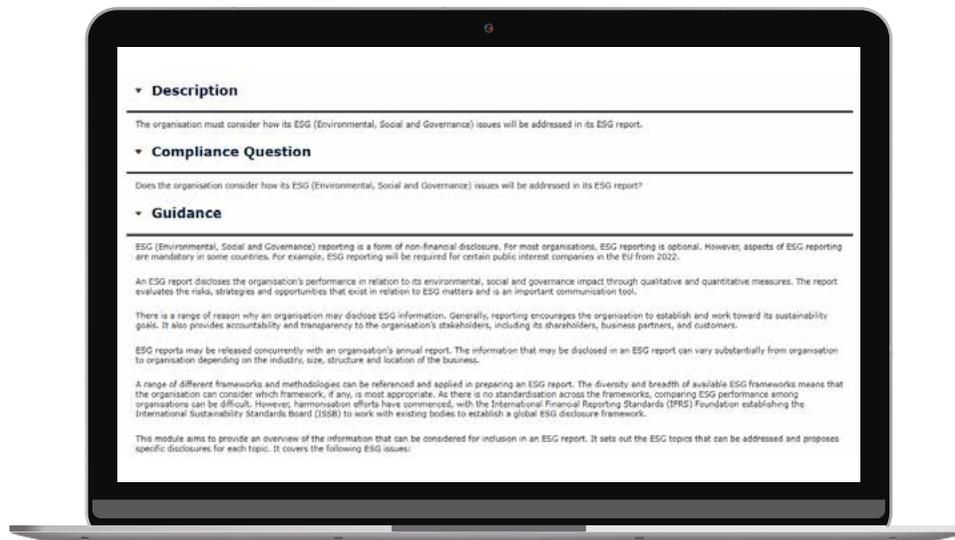
Product Features

LexisNexis Regulatory Compliance is made up of three key components:

1. Modules and Registers
2. Alerts
3. Flexible Technology Options

1. Modules

Our content is grouped into modules. Each module can be thought of as a content set (i.e. the registers and alerts) that contains all the information you need to become compliant within a particular area. We offer three types of module:

→ Core Modules – these are a series of registers that cover obligations that are applicable to all Australian organisations.
→ Industry Modules – these are registers that are specific to an industry.
→ Hot Topic Modules – these are free registers that cover topical areas of law such as Social Media.

You have the option to purchase individual modules to address specific areas of compliance, but in order to fully forge your path to compliance, we recommend purchasing both core and industry modules together. Furthermore, preferential pricing is offered based on multi modules and multi users chosen.

2. Alerts

We know the world of compliance is fast moving, and regulatory changes that affect businesses can occur in short spaces of time. That's why we deliver alerts to our customers' inboxes whenever changes occur. Our alerts contain all the essential information you need to know, including: → which of our compliance modules the notification relates to → which obligations have been impacted → which compliance source has changed → the date the change takes effect	Alerts are categorised by relevance **News**: General information about changes to our content that are occuring **FYI**: A change that you should be aware of, but does not require immediate action **Action Required**: A change that could directly impact your business in the short term, and requires immediate review

3. Flexible Technology Options

We understand that the path to compliance is different for every business, which is why we created a solution that can be tailored to meet your individual requirements. This starts with flexible technology options to suit any way of working:

Enterprise Solution

Our API allows you to download content directly into your existing GRC/ERM system. We also work alongside a panel of carefully selected software partners to provide complete packages of content and software, designed to meet your existing and future needs.

The enterprise solution is tailored towards larger businesses that require a full scale, integrated content and software-driven legal obligations register. Typically, these organisations manage regulatory compliance as part of an overall Governance, Risk & Compliance (GRC) Program that includes a dedicated compliance team. We can input our content directly into your existing ERM software via our API. If you're looking for an integrated software solution, we work with our panel of partners to provide a package of content and software.

Panel of Partners

Certified Integration Partner
When you see this badge, it means the GRC partner has completed our certification assessment and have fully integrated our solution on their platform.

Product Features

Our enhanced RegCompliance+ platform seamlessly integrates our authoritative compliance content with our refined workflows.
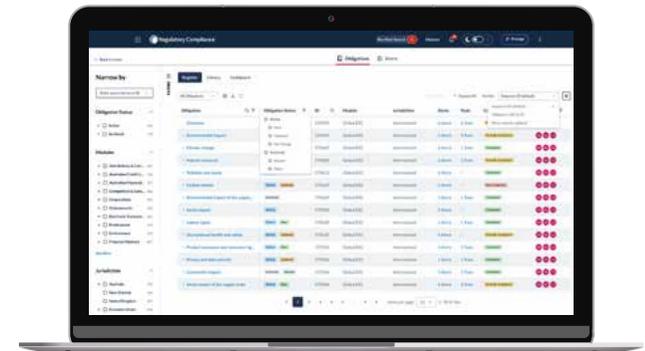
Utilise our RegCompliance+ platform, with our cutting-edge AI Assistant Protégé™
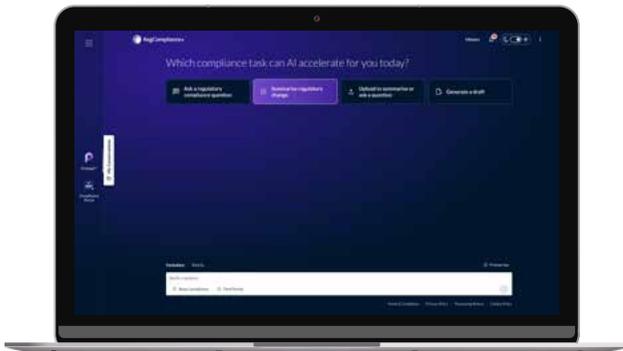

From asking a compliance question to summarising regulatory change that's relevant to you, Protégé in RegCompliance+ is your personalised AI Assistant.
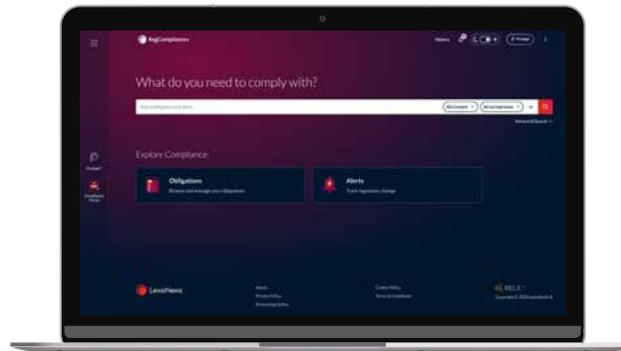

Get answers faster with unified search and quick access to obligations and alerts.


Boost operational efficiency and resilience with enhanced obligation filters based on jurisdiction, industry, compliance status, and risk grading.
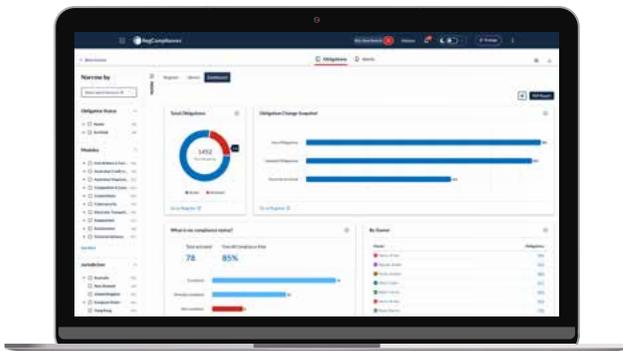
Dynamic dashboard provides a snapshot of your compliance tracking, obligations management, and risk insights. This enables proactive compliance management and informed reporting.
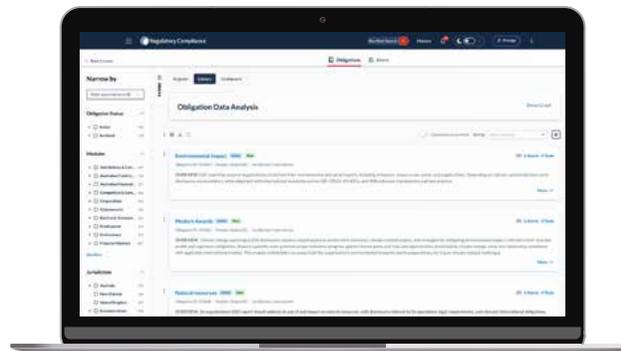
View obligation summaries and linked alerts and tools. Filter by module, jurisdiction, or status to get what you need, when you need.
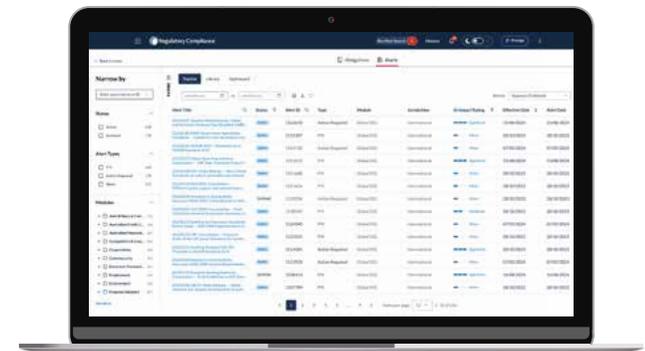
Proactive regulatory change triage and management by filtering alerts by impact rating, risk rating and more.

Product Features

Comparing Traditional Compliance Register Against LexisNexis Regulatory Compliance

	Traditional Compliance Register	LexisNexis Regulatory Compliance
ERM	✓	✓
Online	✗	✓
Areas Of Law Covered	Covers specific areas of compliance (e.g. Work Health and Safety)	Eight core modules and industry-specific content
Legislative Provision(s) Noted	✓	✓
Identify Who Is Responsible	✓	✓
Content Language	Legalese	Plain Business English
Content Updated As Legislation Changes	✗	✓
Proactive Alerts	✗	✓
Obligations Identified and Listed In Business Processes	✗	✓
Practical Guidance On How To Ensure Compliance	✗	✓
Control Actions Highlighted	✗	✓
Consequences Of Non-Compliance Explained	✗	✓
Obligations Are Drawn From All Relevant Compliance Sources	✗	✓
Tools and Checklists Provided	✗	✓

List of Available Modules

Across our core and industry modules, we're able to help you find compliance clarity, no matter your industry. Our products are designed to work seamlessly together, and we work closely with our customers to find the right content at the right price.

LexisNexis Regulatory Compliance content is designed to be useful for business owners and other functional managers, not just those who specialise in compliance. So no matter who you are, or what your industry, we can help you uncomplicate your compliance responsibilities.

Core Modules

Anti-Bribery and Corruption
Organisations risk exposure to criminal liability as well as facing significant penalties for non-compliance with the various anti-bribery laws. Significant commercial implications such as reputational damage and potential civil action from shareholders can also result. This module not only outlines your organisation's obligations, but also provides practical guidance on steps you can take to ensure that your bribery and corruption risks are identified and minimised.

Competition & Consumer
A complex and ever-changing area of law, this module provides you with a practical explanation of your obligations with regard to your customers, and how you can meet them.

Corporations
This module provides guidance on your compliance obligations, from setting up and operating your company through to winding it down. We have reviewed all key legislation administered by ASIC and other key government bodies. The module also includes coverage of the ASX listing rules.

Employment
Covering all jurisdictions, this module uncovers your compliance obligations across the entire employment life cycle – from recruitment to employment contract obligations, right through to fair treatment in the workplace and more.

Environment
Environmental law is one of the main areas of compliance applying to all industries. The Environment module outlines your obligations regulated by Commonwealth, State and Territory authorities and covers key topics such as Approvals, Licensing, Audits, Water and Air Emissions among others.

Privacy & Data Protection
Regardless of which industry you are in, privacy and data protection issues affect us all. The Privacy and Data Protection module identifies and guides your organisation through the compliance obligations surrounding the secure collection, management and maintenance of personal information within the Australian legal framework.

Tax
By sourcing all jurisdictions across Australia, this module has been designed to make you and your staff aware of how business activities may affect your organisation's tax obligations.

Workplace Health & Safety
Everyone has legal responsibilities to protect the health and safety of people in the workplace. Covering all Australian jurisdictions, this module outlines the duties of organisations, workers and other relevant parties with respect to workplace health and safety.

List of Available Modules

Industry Modules

Aged Care	Community Care and Services	General Insurance
Anti-Money Laundering & Counter Terrorism Financing	Credit Rating of Financial Products	Institutional Investment
Asset Management	Credit Reporting	Intellectual Property
Australian Credit Licence (Credit Providers)	Cybersecurity	Land & Property
Australian Financial Services Licence (Product Issuers)	Education in Non-State Schools	Life Insurance
Authorised Deposit-taking Institutions	Facilities Management	Private Health Insurance
Building & Construction	Finance & Leasing Companies	Queensland Healthcare
Charities	Financial Advisory	Superannuation
Child Care and Education Services	Food Service to Aged and Other Vulnerable Persons	Telecommunications

FAQs

Q. Who is LexisNexis?

A: LexisNexis is a leading global provider of legal, regulatory and business information and analytics that help professional customers make more informed decisions, increase productivity and serve their clients better.

We help lawyers win cases for their clients, manage their work more efficiently and productively, and grow their practices. We assist corporations to better understand their markets and prevent bribery and corruption within their supply chains. We aid universities in their efforts to help students become successful legal professionals, and we support governments and courts by making laws accessible and strengthening legal infrastructures.

LexisNexis serves customers in more than 175 countries with 10,000 employees worldwide, and is part of RELX Group, a global provider of information and analytics for professional and business customers across industries.

Q: How do you remain on top of all the content, given that regulations are updated all the time?

A: We have a broad network of content specialists and legal experts who stay across the regulatory changes within their areas of specialisation. When things change, they will break down what the changes are and what they mean (or could mean) for businesses. We then send these updates out as alerts to ensure you're always aware of changes ahead of time.

Q: I've found other compliance solutions to be complex. How is your solution different?

A: Our focus is on making compliance uncomplicated to save you time, money, and resources on your path to compliance. We do this through content that is designed to be easily understood, and readily actionable. Specifically, we provide:

→ Topics that are organised by business processes
→ Practical tools to help you meet your compliance obligations (checklists, templates, links to resources).
→ Dynamic content that is updated regularly to reflect the most current legislation.
→ Expert translations that explain your legal responsibilities in easy-to-apply business language.
→ Core and specialist modules matched to your industry.
→ Alerts when changes to regulations are made.

FAQs

Q: This looks complex to set up and we don't have IT support readily available. How can I access the content?

A: If you don't already have existing software, we can work with one of our partners to provide a full package of content and workflow software to meet your existing and future needs. Alternatively, we can provide you with content via our Online Portal.

Q: I have existing Compliance software. How do you input your content into my system?

A: We do this through an API (application programming interface). An API allows two different applications to "shake hands" with each other. In our case, an API allows the Content Management System (CMS) we use to store our content to talk with your existing software, and therefore allows the transfer of content accordingly.

Q: What is the difference between core modules and industry modules?

A: Core Modules cover legal obligations and actions required of most Australian organisations. These include: Anti-Bribery and Corruption; Competition and Consumer; Corporations; Data Protection & Privacy; Employment; Environment; Tax; WHS.

Industry modules include Compliance modules that are industry-specific, including Aged Care; General Insurance and Asset Management.

FAQs

Q. How is each register structured?

A. The content in registers is structured to make it easy to find what you're looking for, and work out what you need to do.

Overview – This defines the scope of the module and lists the core obligations.

Core Obligations – Identifies a high-level view of the organisation's legal obligations for the area covered by the module. This is the basis for navigating efficiently through the module.

Sub Obligations – The sub-obligations are the individual operational obligations required to meet the core obligation.

Tools – The tools include checklists, policy templates, precedents, process flowcharts and links (usually hyperlinks to web pages). Alerts – There are 3 forms of alert being information only, action required and news.

Q. There are many people within our business that manage different compliance obligations. How can we ensure they all have access to the content they need?

A. Utilising our multi-user licenses, our solution can be used by many people across the business. We can help reduce the burden of meeting your compliance obligations by using your software assets to gain attestations across the organisation.

Plus, with our use of plain language and practical directions, we provide non-lawyers with clear guidance on control actions within an operational framework.

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Multi-award winning solution
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RegTech
AWARDS

REGTECH
100

LEGALTECH
BREAKTHROUGH
AWARD