

The clear path to compliance

Credentials Document



LexisNexis® Regulatory Compliance

Multi-award winning solution
helping you on your path to compliance



Overview

About LexisNexis Regulatory Compliance

LexisNexis Regulatory Compliance® helps you forge a clear path to compliance.

With LexisNexis® content know-how at the core, our compliance registers, alerts, and information-driven solutions make compliance uncomplicated for Governance, Risk and Compliance professionals across the globe.

- Find relevant obligations faster with jargon-free registers that are aligned to your business processes.
- Stay up to date with near-real time alerts delivered straight to your inbox when you may be impacted by regulatory change.
- Explore your compliance obligations under a particular regulator, or a particular compliance source, with SourceData.
- Engage with the wider compliance community and LexisNexis experts through the Community Portal, our self-support platform.
- Access comprehensive, current LexisNexis® content that meets your unique needs, with key core modules relevant to all businesses, and a rapid accelerating roadmap of industry-specific modules that guide your path to compliance.

Authored by leading legal and industry experts, and supported by flexible technology that works the way you do, LexisNexis Regulatory Compliance gives you peace of mind while saving time, and money.

About LexisNexis

LexisNexis is part of RELX Group, a world-leading provider of information and analytics for professional and business customers across industries. LexisNexis helps customers to achieve their goals in more than 175 countries, across six continents, with over 10,000 employees.

Overview

No matter where you are in the world, or what your industry, compliance is one of the most dynamic and fast-moving functions in business. It can be complex, fragmented, and difficult to stay ahead of changing obligations. And while compliance is deeply intertwined with the law, often the people tasked with managing it, are not legal professionals.

LexisNexis Regulatory Compliance ensures that the guidance and content is clear and in plain English so your teams know what you need to do fast, and don't have to spend time translating.

By drawing on the knowledge of some of the foremost legal experts in each compliance area, and presenting their interpretations in clear, actionable language that makes sense, we help you find clarity on your path to compliance.

Powered by the Regulatory Compliance+ platform and the seamless integration of authoritative content with our enhanced workflows, our compliance registers and alerts turn complex regulations into actionable insights for Governance, Risk, and Compliance professionals.

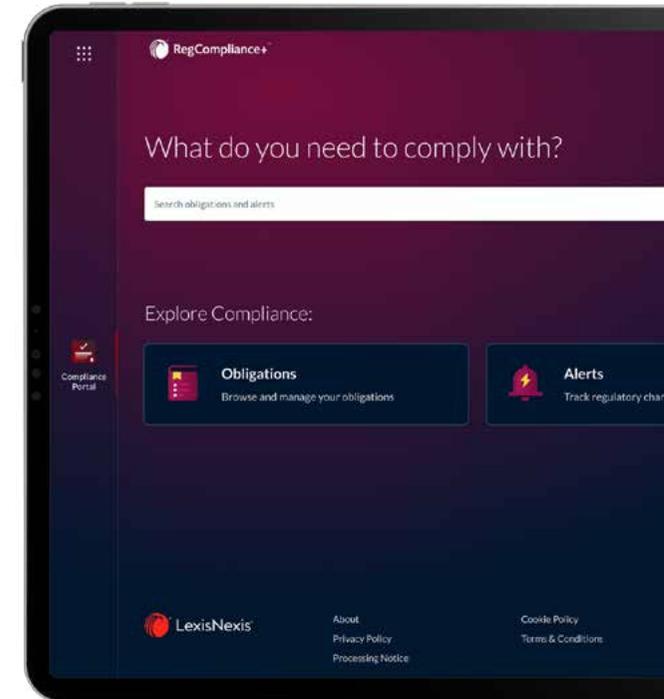
Advanced filtering by jurisdiction, industry, compliance status, and risk grading— this gives compliance teams granular control and visibility, making it easier to prioritise efforts, allocate resources, and focus on areas of highest risk.

Users can browse obligations and alerts in different views, making it easier to scan summaries, explore linked content, and act quickly. Dynamic dashboards bring performance data to life, helping teams visualise ownership and exposure at a glance, enabling more proactive management and reporting.

Enhanced alert capabilities also support regulatory change triage and impact assessment, with new filtering options and impact ratings allowing teams to instantly gauge the relevance and significance of each update.

Our tools are designed to streamline compliance processes, reduce administrative burden, and strengthen regulatory resilience—helping you stay compliant in an increasingly complex environment.

Get ready to experience a new era of compliance.



Content Principles

Our content is set apart from the competition by two key principles:

1. It is authored by experts to provide the best interpretations of compliance sources.
2. It is structured for practicality across all modules.

The Process of Expert Authorship

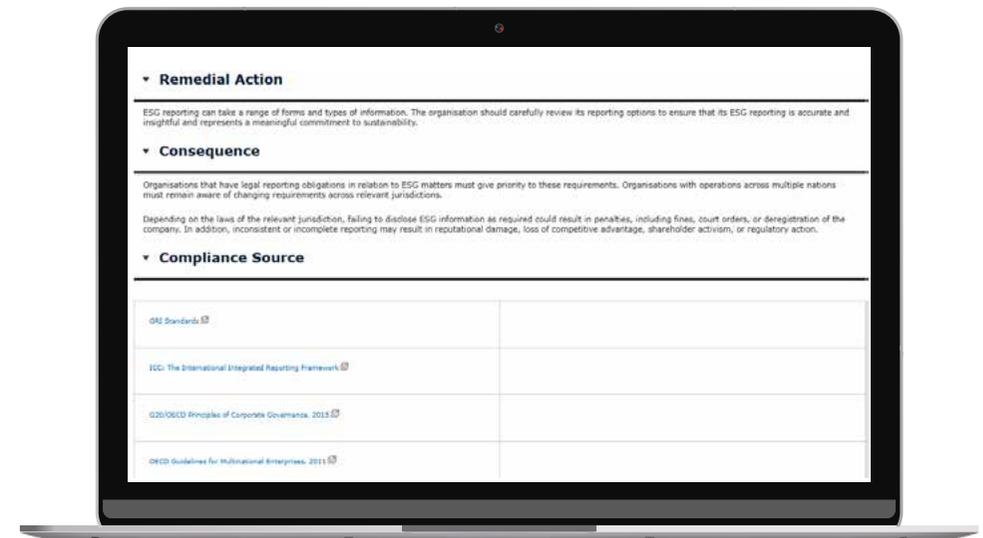
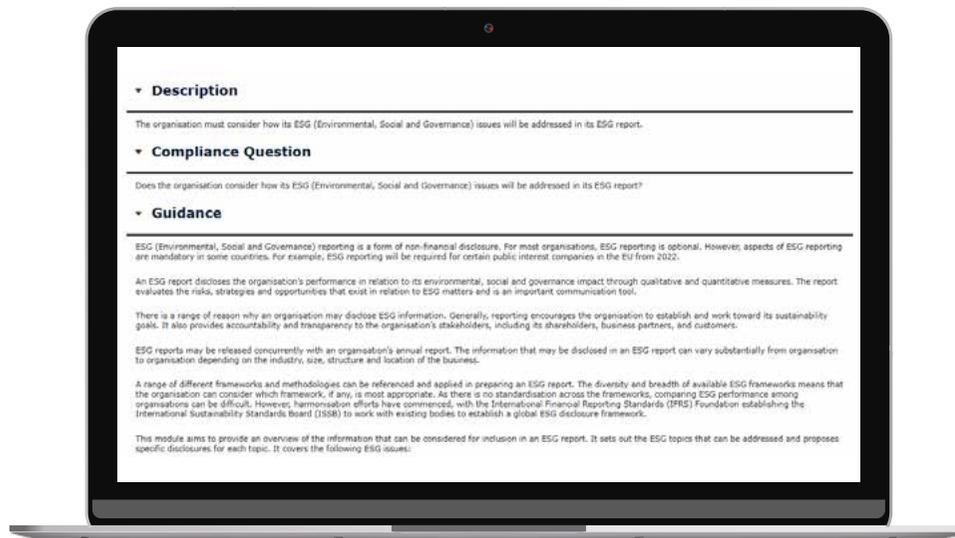


Content Principles

Designed for Practicality

Every obligation in our compliance registers is structured the same way so you can quickly locate the information you need.

- **Guidance** notes explains what it means to be compliant and how to get there
- **Remedial actions** let you know some possible steps to take if you're not currently, or at risk of not being, compliant
- **Consequences** explain the potential penalties for non-compliance
- **Compliance Source** are included as reference points.



Product Features

LexisNexis Regulatory Compliance is made up of three key components:

1. Modules and Registers
2. Alerts
3. Flexible Technology Options

1. Modules

Our content is grouped into modules. Each module can be thought of as a content set (i.e. the registers and alerts) that contains all the information you need to become compliant within a particular area. We offer three types of module:

- Core Modules – these are a series of registers that cover obligations that are applicable to all Australian organisations.
- Industry Modules – these are registers that are specific to an industry.

You have the option to purchase individual modules to address specific areas of compliance, but in order to fully forge your path to compliance, we recommend purchasing both core and industry modules together. Furthermore, preferential pricing is offered based on multi modules and multi users chosen.

2. Alerts

We know the world of compliance is fast moving, and regulatory changes that affect businesses can occur in short spaces of time. That's why we deliver alerts to our customers' inboxes whenever changes occur. Our alerts contain all the essential information you need to know, including:

- which of our compliance modules the notification relates to
- which obligations have been impacted
- which compliance source has changed
- the date the change takes effect

Alerts are categorised by relevance

News: General information about changes to our content that are occurring

FYI: A change that you should be aware of, but does not require immediate action

Action Required: A change that could directly impact your business in the short term, and requires immediate review

3. Flexible Technology Options

We understand that the path to compliance is different for every business, which is why we created a solution that can be tailored to meet your individual requirements. This starts with flexible technology options to suit any way of working:

Enterprise Solution

Our API allows you to download content directly into your existing GRC/ERM system. We also work alongside a panel of carefully selected software partners to provide complete packages of content and software, designed to meet your existing and future needs.

The enterprise solution is tailored towards larger businesses that require a full scale, integrated content and software-driven legal obligations register. Typically, these organisations manage regulatory compliance as part of an overall Governance, Risk & Compliance (GRC) Program that includes a dedicated compliance team. We can input our content directly into your existing ERM software via our API. If you're looking for an integrated software solution, we work with our panel of partners to provide a package of content and software.

Panel of Partners

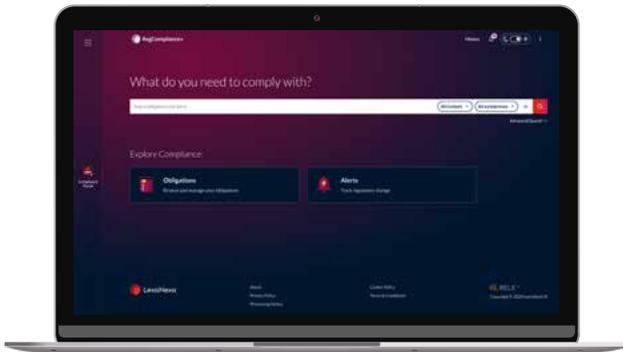
Certified Integration Partner

When you see this badge, it means the GRC partner has completed our certification assessment and have fully integrated our solution on their platform.

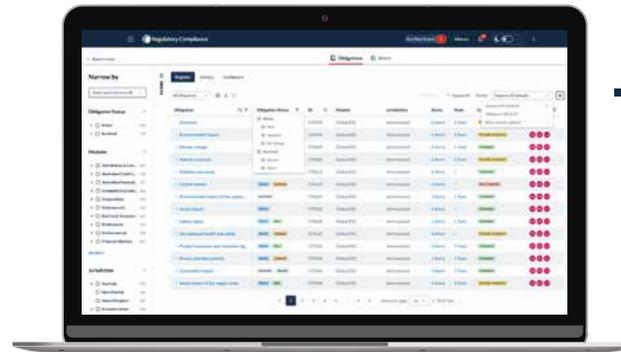


Product Features

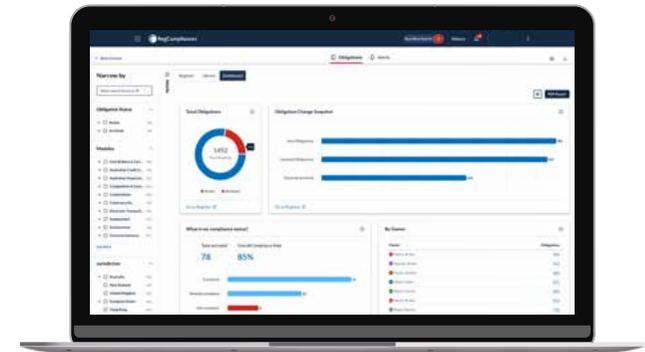
Our enhanced RegCompliance+ platform seamlessly integrates our authoritative compliance content with our refined workflows.



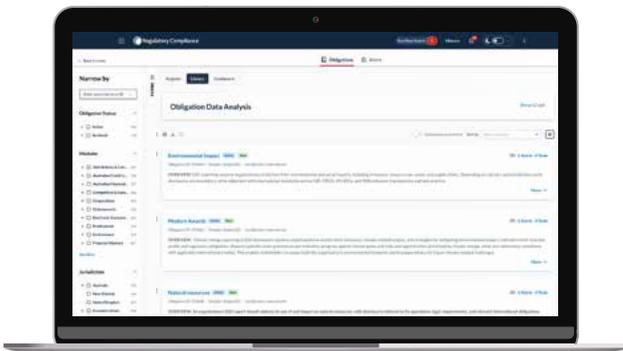
Get answers faster with unified search and quick access to obligations and alerts.



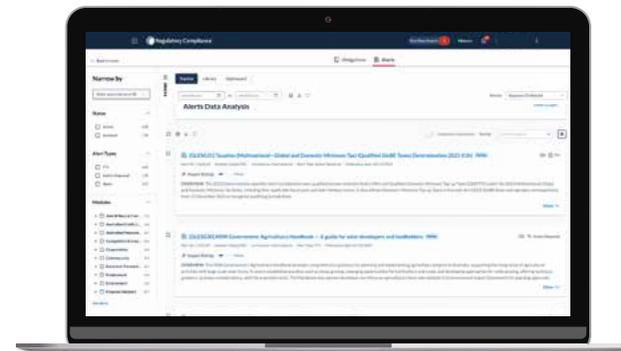
Boost operational efficiency and resilience with enhanced obligation filters based on jurisdiction, industry, compliance status, and risk grading.



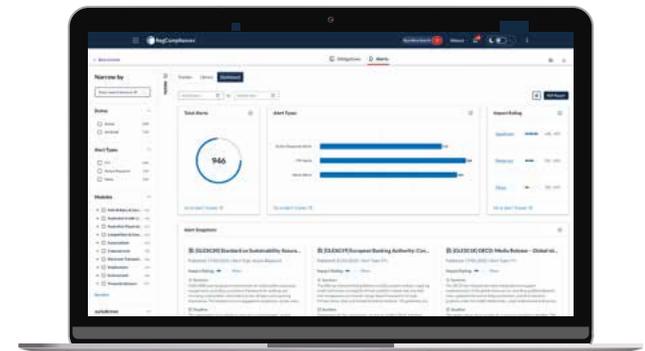
Dynamic dashboard provides a snapshot of your compliance tracking, obligations management, and risk insights. This enables proactive compliance management and informed reporting.



View obligation summaries and linked alerts and tools. Filter by module, jurisdiction, or status to get what you need, when you need.



Proactive regulatory change triage and management by filtering alerts by impact rating, risk rating and more.



Transform regulatory alert data into actionable insights through a highly visual dashboard showcasing alert volume, risk, ownership, and timelines.

Product Features

Comparing Traditional Compliance Register Against LexisNexis Regulatory Compliance

	Traditional Compliance Register	LexisNexis Regulatory Compliance
ERM	✓	✓
Online	✗	✓
Areas Of Law Covered	Covers specific areas of compliance (e.g. Work Health and Safety)	Ten core modules and industry-specific content
Legislative Provision(s) Noted	✓	✓
Identify Who Is Responsible	✓	✓
Content Language	Legalese	Plain Business English
Content Updated As Legislation Changes	✗	✓
Proactive Alerts	✗	✓
Obligations Identified and Listed In Business Processes	✗	✓
Practical Guidance On How To Ensure Compliance	✗	✓
Control Actions Highlighted	✗	✓
Consequences Of Non-Compliance Explained	✗	✓
Obligations Are Drawn From All Relevant Compliance Sources	✗	✓
Tools and Checklists Provided	✗	✓

List of Available Modules

Across our core and industry modules, we're able to help you find compliance clarity, no matter your industry. Our products are designed to work seamlessly together, and we work closely with our customers to find the right content at the right price.

LexisNexis Regulatory Compliance content is designed to be useful for business owners and other functional managers, not just those who specialise in compliance. So no matter who you are, or what your industry, we can help you uncomplicate your compliance responsibilities.

Core Modules

Anti-Bribery and Corruption

Organisations risk exposure to criminal liability as well as facing significant penalties for non-compliance with the various anti-bribery laws, including the UK Bribery Act 2010. This module addresses the technicalities of the Act, explains its terms and sets out those factors and issues that an organisation should consider when conducting its bribery and corruption risk assessment.

Consumer Protection

This module informs UK organisations of their legislated legal consumer protection obligations. UK consumer protection laws are wide-ranging and apply to the provision of goods, services and/or digital content, product liability, marketing, and advertising (on-premises, off-premises and on-line) and consumer credit agreements. An organisation providing any (or any combination) of those to consumers must comply with legal obligations, rules and requirements.

Corporations

This module informs the directors and officers of companies of their legislative obligations and best practice processes to be implemented and maintained within all levels of the organisation and throughout the life cycle of the company.

Competition

UK competition law prohibits organisations from engaging in anti-competitive agreements and an abuse of a dominant position. The law creates civil offences for such behaviour or agreements as well as a criminal cartel offence against individuals who engage in certain anti-competitive agreements. This module sets out the UK competition laws, rules and regulations which organisations must comply with.

Employment

Every UK employer has legal obligations it owes to workers and prospective workers. Employers must ensure that all workers are treated fairly. This involves understanding various sources of legislation including, the: Employment Act 2002, Employment Relations Act 1999, Employment Rights Act 1996 and Equality Act 2010. This module uncovers your compliance obligations across the entire employment life cycle – from recruitment to employment contract obligations, right through to fair treatment in the workplace and more.

Environment

This module informs UK organisations of their legislated legal obligations to the environment. The module focuses on providing practical assistance to UK organisations to ensure that they comply with sustainability regulations by transparently reporting energy use, climate risks, and pollution measures.

List of Available Modules

ESG

Empowering organisations to navigation and meet essential compliance obligations to ensure sustainability, ethical practices, and transparent governance. The modules offer comprehensive guidance on critical topics such as energy and emissions disclosures; gender pay gap reporting and simplifies compliance with key regulations.

Privacy & Data Protection

Regardless of which industry you are in, privacy and data protection issues affect us all. The EU Privacy and Data Protection module identifies and guides your organisation through the compliance obligations surrounding the secure collection, management and maintenance of personal information within the European Union legal framework

Tax

This module sets out the taxes UK-resident and, in certain circumstances, non-resident individuals and entities are liable to pay in the United Kingdom. The module provides a detailed explanation of the obligations taxpayers must meet and the legal consequences of non-compliance.

Workplace Health & Safety

This module aims to assist an organisation in understand as an employer their general legal duty to protect the health, safety and welfare of persons at work, which involves understanding and complying with the myriad of health and safety law.

Industry Modules

AML-CFT	Building Societies & Credit Union	England & Wales Solicitors Regulation	Insurance
Asset Management	Casinos & Gambling	Financial Sanctions	Payment Services
Banking & Lending	Cybersecurity	Financial Services Authorisations	Modern Slavery

EU Industry Modules

AML-CFT	Digital Operational Resilience	Payments
Artificial Intelligence Systems	ESG	Privacy & Data Protection
Asset Management	Payment Attestation	

FAQs

Q. Who is LexisNexis?

A: LexisNexis is a leading global provider of legal, regulatory and business information and analytics that help professional customers make more informed decisions, increase productivity and serve their clients better.

We help lawyers win cases for their clients, manage their work more efficiently and productively, and grow their practices. We assist corporations to better understand their markets and prevent bribery and corruption within their supply chains. We aid universities in their efforts to help students become successful legal professionals, and we support governments and courts by making laws accessible and strengthening legal infrastructures.

LexisNexis serves customers in more than 175 countries with 10,000 employees worldwide, and is part of RELX Group, a global provider of information and analytics for professional and business customers across industries.

Q: How do you remain on top of all the content, given that regulations are updated all the time?

A: We have a broad network of content specialists and legal experts who stay across the regulatory changes within their areas of specialisation. When things change, they will break down what the changes are and what they mean (or could mean) for businesses. We then send these updates out as alerts to ensure you're always aware of changes ahead of time.

Q: I've found other compliance solutions to be complex. How is your solution different?

A: Our focus is on making compliance uncomplicated to save you time, money, and resources on your path to compliance. We do this through content that is designed to be easily understood, and readily actionable. Specifically, we provide:

- Topics that are organised by business processes
- Practical tools to help you meet your compliance obligations (checklists, templates, links to resources).
- Dynamic content that is updated regularly to reflect the most current legislation.
- Expert translations that explain your legal responsibilities in easy-to-apply business language.
- Core and specialist modules matched to your industry.
- Alerts when changes to regulations are made.

FAQs

Q: This looks complex to set up and we don't have IT support readily available. How can I access the content?

A: If you don't already have existing software, we can work with one of our partners to provide a full package of content and workflow software to meet your existing and future needs. Alternatively, we can provide you with content via our Online Portal.

Q: I have existing Compliance software. How do you input your content into my system?

A: We do this through an API (application programming interface). An API allows two different applications to “shake hands” with each other. In our case, an API allows the Content Management System (CMS) we use to store our content to talk with your existing software, and therefore allows the transfer of content accordingly.

Q: What is the difference between core modules and industry modules?

A: Core Modules cover legal obligations and actions required of most Australian organisations. These include: Anti-Bribery and Corruption; Competition and Consumer; Corporations; Data Protection & Privacy; Employment; Environment; Tax; WHS.

Industry modules include Compliance modules that are industry-specific, including Aged Care; General Insurance and Asset Management.

FAQs

Q. How is each register structured?

A. The content in registers is structured to make it easy to find what you're looking for, and work out what you need to do.

Overview – This defines the scope of the module and lists the core obligations.

Core Obligations – Identifies a high-level view of the organisation's legal obligations for the area covered by the module. This is the basis for navigating efficiently through the module.

Sub Obligations – The sub-obligations are the individual operational obligations required to meet the core obligation.

Tools – The tools include checklists, policy templates, precedents, process flowcharts and links (usually hyperlinks to web pages). Alerts – There are 3 forms of alert being information only, action required and news.

Q. There are many people within our business that manage different compliance obligations. How can we ensure they all have access to the content they need?

A. Utilising our multi-user licenses, our solution can be used by many people across the business. We can help reduce the burden of meeting your compliance obligations by using your software assets to gain attestations across the organisation.

Plus, with our use of plain language and practical directions, we provide non-lawyers with clear guidance on control actions within an operational framework.

LexisNexis® | *Regulatory Compliance*

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