The Lexis® Securities Mosaic® service brings together multi-faceted securities, federal agency, disclosure and transaction information into one powerful online resource.

Below are some day-to-day examples of how Lexis® Securities Mosaic® can support Securities Litigation and Enforcement attorneys like you.

<table>
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<th>What am I trying to get done today?</th>
<th>How can Lexis Securities Mosaic help me now?</th>
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<td>I’d like to see examples of disclosure by companies in the high-tech industry that are being sued by shareholders for making false or misleading statements in violation of Rule 10b-5.</td>
<td>Apply a keyword filter on 10-K or 10-Q item-level searches using our SEC Filings page.</td>
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<tr>
<td>My client is alleging that a company he invested in failed to disclose material facts in connection with a tender offer, in violation of Section 14(e) of the 1934 Act. In order to understand how the rule has been understood and applied, I am interested in seeing No-Action Letters in which the SEC has stated it would or would not recommend action against a company in this situation.</td>
<td>Search by topic and/or keyword in our No-Action Letters database.</td>
</tr>
<tr>
<td>I need a tool that will help me track SEC enforcement of insider trading. I’m especially interested in benchmarking the dollar amount of penalties being assessed, and also knowing what other relief (prison sentence, trading suspension, etc.) may have resulted.</td>
<td>Use our SEC Enforcement page to filter by insider trading, penalty amount and type of relief.</td>
</tr>
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</table>
What am I trying to get done today? | How can Lexis Securities Mosaic help me now?
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I need to keep up with what commentators are saying about the latest ISS guidance on compensation clawback policies. | Search by topic and/or keyword on our Law Firm Memos page and News & Blogwatch Archive.

## Content and features

- Our Securities Mosaic® Litigation News daily email wraps up the day’s SEC enforcement actions, relevant judicial opinions, public company investigation disclosures, and other key events.
- Use our SEC Enforcement-Defendant Search page to quickly search enforcement activity by defendant name or position, violation type (including accounting violations, conspiracy, insider trading, mail fraud, money laundering, options backdating and more), and penalty type and amount.
- On our Laws, Rules, & Agency Materials page, search across the whole universe of SEC releases, drill down into a specific dataset, or filter a general category. For example, you can limit your search to enforcement-related materials (including Investors Claims Funds, Amicus and Appellate Briefs, Complaints and Litigation Releases).
- Our proprietary Investigations Disclosure page allows granular searching of statements by public companies that have received Wells Notices or are the subject of preliminary or formal investigations by the SEC or other entities. No other product on the market offers this feature.
- Use our complete, real-time, fully text searchable SEC Filings search page to see what public companies are disclosing to investors and prospective investors in filings such as proxy statements, annual reports and registration statements.
- Our Judicial Opinions page offers summaries of recent selected cases from the U.S. Supreme Court and Federal Appellate Courts.
- Advanced searching on nearly 70,000 No-Action Letters helps you assess whether certain actions contemplated by companies might be considered improper, potentially exposing them to litigation risk.
- Law Firm Memos to monitor trends and become familiar with any legal topic under the sun. The Lexis Securities Mosaic database is updated daily and includes, for example, nearly 20,000 memos on corporate litigation and enforcement, nearly 14,000 on securities, nearly 5,000 on antitrust & competition, and about 1,500 on corporate governance.

A Lexis Securities Mosaic license also includes full access to our industry’s best customer support, research assistance and training. Whether you need help refining a search or just want to get caught up on all our latest features and datasets, our experienced support staff is only a call or email away.

Tap into this brilliant repository of federal agency and industry knowledge today.

Contact us: 866.650.3600  www.lexisnexis.com/lsmsupport