



TOP 5 TASKS/TOOLS • SELF-GUIDED TOUR

Practical Guidance Financial Services Regulation

This Top 5 list contains key resources and tools found in the Financial Services Regulation (“FSR”) practice area.

1. REGULATION OF FINANCIAL TECHNOLOGY (FINTECH) AND BLOCKCHAIN TECHNOLOGY

Stay informed on the state of regulations and other laws governing blockchain, or distributed ledger technology (DLT), the technology that underlies virtual and cryptocurrencies. This [topic](#) offers practice notes, checklists and articles that provide the practitioner with the most updated regulatory guidance and information needed to advise on regulatory compliance in this area. A representative sampling includes:

Practice Notes: [Bank Partnerships with Fintech Companies](#)
[Blockchain for Financial Services Lawyers](#)
[Cryptocurrency and Blockchain Litigation and Regulatory Enforcement](#)
[Regulating Fintech and Emerging Payments Technology](#)
[SEC Regulation of Digital Assets](#)
[Understanding Bitcoin and Virtual Currency](#)

Checklist: [Alternative Data and Consumer Financial Protection Compliance Checklist](#)

Articles: [US seeks to make illicit cryptocurrency transactions too ‘hot’ for banks, magistrate says](#)
[NYDFS Requires COVID-19 Preparedness Plans from Virtual Currency Businesses](#)
[U.S. Securities and Exchange Commission Releases Framework for Investment Contract Analysis of Digital Assets](#)

2. BANK ACTIVITIES AND REGULATORY ENFORCEMENT ACTIONS

Leverage an extensive collection of practice notes, templates, checklists, and articles that explore and guide inhouse counsel and practitioners in the areas of bank and bank holding company regulations, payments regulations, corporate governance, insider lending, privacy and data security just to name a few. Access our comprehensive topic [here](#). A representative sampling includes:

Practice Notes: [Bank Holding Companies: Supervision, Regulation and Activities](#)
[Electronic Fund Transfer Act and Regulation E](#)
[Consumer Financial Protection Bureau’s Examination, Investigation, and Enforcement Authority](#)
[Gramm-Leach-Bliley Act \(GLBA\) Privacy Requirements](#)

Templates and Checklists: [LIBOR Phase-Out 2021 Risk Factor](#)
[Affiliate Transactions Checklist for Insured Depository Institutions](#)
[Consumer Regulatory Checklist for Prepaid Cards](#)

Resource Kits: [Bank Regulatory Counsel Resource Kit](#)

3. CONSUMER FINANCIAL SERVICES REGULATIONS

Navigate consumer regulations easily and efficiently with articles, practice notes, templates and checklists covering consumer lending, credit, mortgage, and disclosure requirements. This [topic](#) is designed to support retail, commercial and mortgage lending practices. A representative sampling includes:

- Articles:** [U.S. Insight: Main Street Lending Program Offers Additional Financial Support to Small and Mid-sized Business Affected by COVID-19 \(Coronavirus\)](#)
- Practice Notes:** [Mortgage Servicing Issues and Regulatory Considerations](#)
[Bank Regulation and Commercial Lending](#)
[Legal Framework for U.S. Consumer Regulatory Law](#)
- Templates and Checklists:** [CFPB Examination Checklist](#)
[Home Mortgage Disclosure Act Checklist](#)
[Fair Credit Reporting Act \(FCRA\) Disclosure regarding the Procurement of Consumer and Investigative Consumer Reports](#)

4. RESOURCE KITS

We have indexed practice notes, checklists, templates, surveys, and other practical guidance content into helpful resource kits organized by task and topic, providing a one-stop shop for all your practice needs. Access this content by going to [Tools & Resources > Resource Kits](#). A representative sampling includes:

- [Bank Regulatory Counsel Resource Kit](#)
- [CFPB Examination and Investigation Resource Kit](#)
- [Compliance with OFAC, AML and USA Patriot Act Resource Kit](#)
- [Credit and Debit Card Regulatory Resource Kit](#)
- [Mortgage Origination Regulation Resource Kit](#)

5. STATE LAW SURVEYS

Research and compare state laws on a variety of subjects, pertinent to financial services companies and the attorneys representing them, with our state law surveys. Access this content by going to [Tools & Resources > State Law Surveys](#). A representative sampling includes:

- [Debt Collection State Law Survey](#)
- [Virtual Currency State Law Survey](#)
- [Deceptive Trade Practices and False Advertising State Law Survey](#)
- [Third Party Disclosure of Personal Data State Law Survey](#)
- [Interest Rates and Usury State Law Survey](#)

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