

What's New—What's Great

Volume VII, Summer 2015

What's great?

Lexis® Securities Mosaic® Securities Insight—Proxy Access

Lexis® Securities Mosaic® has everything you need related to proxy access and the proxy process all in one place: recent disclosure, rulemaking and administrative guidance, and news and commentary. Whether you look at proxy season through the lens of the corporate boardroom or from the shareholder perspective, there's a lot to keep on top of. That's especially true in a climate where activists and shareholder advocacy groups are becoming increasingly influential, and old ways of doing things are being reexamined. [Click here](#) to see a PDF of how Securities Mosaic® can keep you up to speed.

Securities Mosaic Data Feed

Did you know we offer high-quality content in a highly flexible and customizable data feed platform? Get the same comprehensive suite of regulatory and disclosure content trusted by top legal, financial and corporate firms integrated with your organization's internal solutions. These custom data feeds allow organizations to choose from a broad range of regulatory information across a variety of agencies and the CFR, as well as data coming from SEC EDGAR® filings. Tailor the information that's right for you—for more information, [click here](#).

Securities Mosaic at FINRA in Washington, D.C.

We recently stationed a Securities Mosaic booth at the annual conference of the Financial Industry Regulatory Authority (FINRA) in Washington, D.C. We presented demos of the product, information on upcoming releases and much more! Here are some key takeaways, in case you missed it:

FINRA's Annual Conference took place in Washington, D.C., on May 27 – 29. The conference included approximately 40 sessions with more than 100 speakers; it was designed to help navigate toward a strong culture of compliance—whether you're new to your compliance role or a seasoned veteran.

The Annual Conference featured important regulatory updates to provide compliance officers, legal professionals, branch managers and others with the tools to develop and maintain an effective compliance framework.

What's new?

Reference Retriever

The new and greatly enhanced version of the Reference Retriever tool on Securities Mosaic *is live*. Users who access SEC EDGAR

filings through the Securities Mosaic service now have quick access to most filings or exhibits referred to in other filings, including those incorporated by reference. All it takes is a couple of clicks of your mouse to retrieve the referenced document. To see the feature in action, you can watch this [three-minute video](#) on RR v.2. Or, if you prefer to have your own personal guided tour, [click here](#) to schedule a live training with one of our product specialists.

SEC Examiner field on Comment Letters

Our SEC Comment Letters search has been enhanced. It already links the entire chain of SEC comments and company responses, allows you to filter letters by the form type that is the subject of the correspondence and links you directly to the specific subject filing. Now live, we've added another great enhancement, allowing you to filter by the name of the SEC examiner assigned to the disclosure audit.

What else?

Dodd-Frank Rulemaking Tracker

Although the Dodd-Frank Act is coming up on its 5th anniversary, the long process of implementing the law through federal agency rulemaking just keeps on going. Securities Mosaic has tracked nearly 2,700 agency releases related to Dodd-Frank's implementation, including a staggering 426 Final Rules (as recorded in the Federal Register). With more on the way, the easiest way to stay abreast of them is to create a Dodd-Frank Tracker alert on the Securities Mosaic service. And the easiest way to become familiar with the Dodd-Frank Tracker is by watching the [three-minute video](#) we've put together.

Lexis Advance® M&A Practice Page + Securities Mosaic

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Have any questions? Contact us.

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