

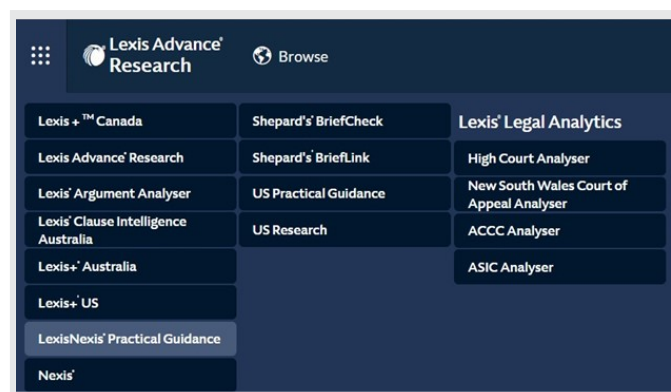
Practical Guidance

Quick Reference Guide

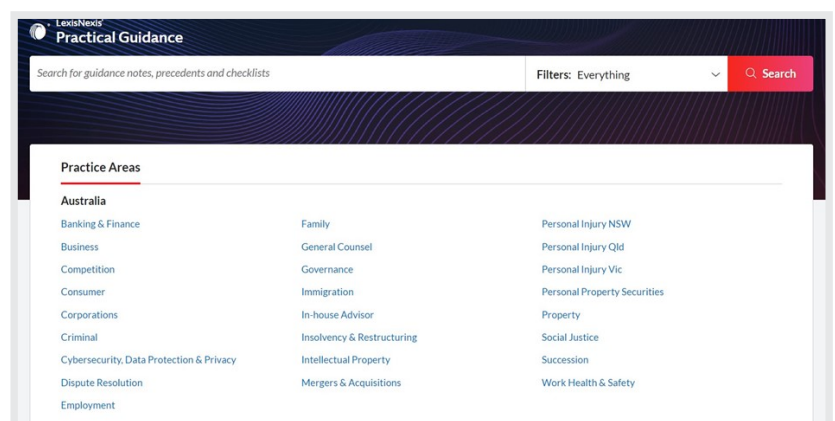
Practical Guidance is an excellent first point of call to commence your research. Simply select a practice area and browse by topic. Each of the blue hyperlinks available in the 'Browse Topics' section are clickable subtopics and will display the relevant content available for that subtopic including an overview, guidance notes with practical tips, key cases, commentary, legislation, forms, precedents, and other resources for additional reference. The platform also enables you to browse through to all the content from your Lexis Advance Research subscription, to complete your research more efficiently.

For tips, guides and videos please refer to the Knowledge Network at <https://www.lexisnexis.com.au/en/knowledge-network/lexisnexis-advance-and-practical-guidance>

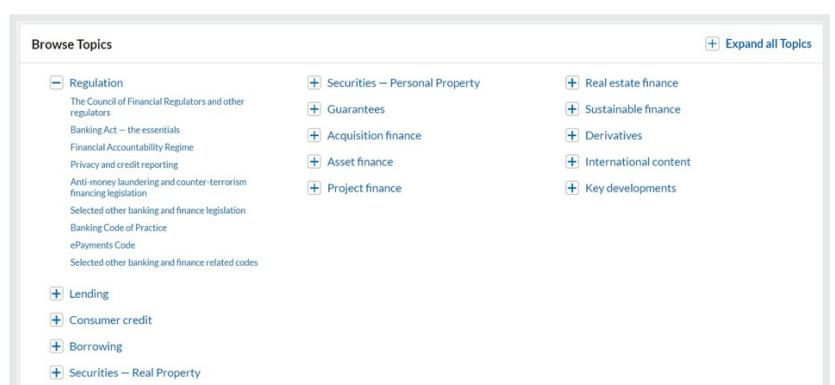
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Practical Guidance arranges content by topic and subtopic. The subtopic tree is contained in the 'Browse topics' section. Select a blue hyperlink to view the content relevant to that topic or subtopic.



In a subtopic, you can see the collection of all different types of resources including **Overview**, **Guidance** notes, key **Cases**, **Legislation**, applicable **Forms** and **Precedents**.

Guidance notes are written exclusively for the Practical Guidance platform by practising subject matter experts. They comprehensively cover the key steps and concepts related to a task, matter or issue, and link out to the primary materials needed to support implementation. Guidance notes also provide links to additional resources from the Lexis Advance Research library that may be relevant.

Home / AU Banking & Finance / Regulation / The Council of Financial Regulators and other regulators

The Council of Financial Regulators and other regulators

Overview – The Council of Financial Regulators and other regulators

The Council of Financial Regulators (CFR) is the coordinating body for Australia's main financial regulatory agencies. The CFR is made up of the Australian Prudential Regulation Authority (APRA), the Australian Securities and Investments Commission (ASIC), the Reserve Bank of Australia (RBA) and the Australian Treasury. Chaired by the RBA, these agencies work together for a coordinated approach to resolve matters relating to the stability of the Australian financial system. Further, the CFR provides advice to the Australian Government on the adequacy of Australia's financial regulatory framework. In addition to the CFR, practitioners are likely to come across a number of other regulators. They include *Australian Transaction Reports and Analysis Centre (AUSTRAC)* and the *Office of the Australian Information Commissioner (OAIC)*. This Subtopic provides practical guidance relating to the roles and powers of, and how to deal with, these regulators.

The role and powers of the Australian Prudential Regulation Authority (APRA)...

[View full Overview >](#)

Guidance

- ▼ The role and powers of the Australian Prudential Regulation Authority (APRA)
 - What is APRA and why is it relevant to banking and finance lawyers?
 - Authorised deposit-taking institutions
 - APRA's prudential framework

Tools & Resources

[View all Tools & Resources in AU Banking & Finance >](#)

The role and powers of the Australian Securities & Investments Commission (ASIC)

Jump to section

[ASIC and its relevance to banking and finance lawyers](#) | [ASIC's powers](#) | [ASIC's Regulatory Guides](#) | [ASIC's other regulatory resources](#)

Authored by Karen Lee, Principal, Legal Know-How. Updated by the Lexis Nexis Legal Writer team.

ASIC and its relevance to banking and finance lawyers

The [Australian Securities & Investments Commission](#)® (ASIC) is an independent Commonwealth Government body. ASIC regulates Australian companies, financial markets, and financial services organisations. ASIC also regulates professionals who deal and advise in investments, superannuation, insurance, deposit-taking and credit. Those who are regulated by ASIC are referred to as "regulated entities".

The Practical Guidance Practice area homepage also features a collection of pods which contain useful resources including Tools, Checklists and Precedents.

Toolkits contain practical materials such as frequent tasks, comparative tables, workflow diagrams, glossaries, calculators and decision support tools.

Checklists, available directly from the homepage and throughout the guidance, assist with guiding you through key tasks, matters or issues relevant to a practice area.

Precedents can be accessed by subtopic, or in the Precedents pod on the module homepage. You can save precedents in a working folder on Lexis Advance or download the document in Microsoft Word.

Precedents | Checklists | Tools & Resources

[Drafting guide to Facility Agreement \(AUD term loan\): single company borrower – bilateral – with or without security or a guarantee](#)
[LexisNexis Legal Writer team]

[Facility Agreement \(AUD term loan\): single company borrower – bilateral – with or without security or a guarantee](#)
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[Guarantee and indemnity – all moneys unlimited, referable to an agreement or limited \(long form\)](#)
[LexisNexis Legal Writer team]

[Amendment and restatement deed: for a bilateral facility agreement with or without security or a guarantee](#)
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[Consent request \(prohibited activity under facility agreement\)](#)
[K. Lee, Principal, Legal Know-How]

[View all Precedents >](#)